

# Contents

<b>1. Introduction</b> .....	1
1.1 The Role of A/D Conversion .....	2
1.2 Key Technological Challenges .....	3
1.3 Motivation for Photonic A/D Approaches .....	3
1.4 Organization of this Book .....	4
<b>2. Performance Characteristics of Analog-to-Digital Converters</b> .....	7
2.1 A/D Converter Characteristics .....	8
2.2 Sampling and Conversion Rate Characteristics .....	9
2.2.1 Sampling Rate .....	9
2.2.2 Conversion Rate .....	10
2.3 Performance Measures .....	11
2.3.1 Resolution .....	11
2.3.2 Dynamic Range, SQNR, and SNR Performance Measures .....	14
2.3.3 Spur-Free Dynamic Range .....	17
2.4 Performance Degradations .....	18
2.4.1 Two-Tone Intermodulation Distortion .....	18
2.4.2 Differential Nonlinearity .....	19
2.4.3 Integral Nonlinearity .....	20
2.4.4 Comparator Hysteresis .....	20
2.4.5 Thermal Noise .....	22
2.4.6 Aperture Jitter .....	23
2.4.7 Comparator Ambiguity .....	24
2.4.8 Observations .....	26
Summary .....	28
<b>3. Approaches to Analog-to-Digital Conversion</b> .....	29
3.1 A/D Converter Coding Schemes .....	29
3.1.1 Thermometer Coding Scheme .....	29
3.1.2 Gray Code Coding Scheme .....	31
3.1.3 Circular Coding Scheme .....	31
3.2 Nyquist-Rate Converter Architectures .....	32

3.2.1	Fully Parallel or Flash A/D Conversion .....	32
3.2.2	Subranging A/D Conversion .....	33
3.2.3	Folding Architectures .....	35
3.2.4	Other Parallel Architectures .....	36
3.2.5	Neural Network Approach to A/D Conversion.....	39
3.2.6	Full-Search A/D Conversion .....	43
3.2.7	Successive Approximation A/D Conversion .....	43
3.3	Oversampled A/D Conversion .....	44
3.3.1	The Modulator .....	46
3.3.2	Operation .....	49
3.3.3	The Digital Postprocessor .....	60
3.3.4	Oversampled A/D Performance .....	69
3.4	Parallel Oversampling A/D Conversion.....	79
	Summary .....	80
<b>4.</b>	<b>Photonic Devices</b>	
	<b>for Analog-to-Digital Conversion.....</b>	<b>83</b>
4.1	Mach–Zehnder Interferometers .....	83
4.2	Optical Waveguide Switches .....	89
4.2.1	Directional Coupler Waveguide Switches .....	90
4.2.2	Reversed $\Delta\beta$ Directional Coupler.....	92
4.2.3	Digital Optical Waveguide Switches.....	93
4.3	Acousto-Optic Devices .....	94
4.4	Multiple Quantum Well Devices .....	101
4.4.1	Optical Bistability .....	105
4.4.2	Optical Subtraction.....	106
4.4.3	Switching Speed and Energy Requirements .....	108
4.5	Smart Pixel Technology .....	110
4.5.1	Monolithic Integration .....	110
4.5.2	Direct Epitaxy .....	114
4.5.3	Hybrid Integration .....	115
	Summary .....	122
<b>5.</b>	<b>Nyquist-Rate Photonic Analog-to-Digital Conversion .....</b>	<b>123</b>
5.1	Electro-Optic A/D Conversion	
	Based on a Mach–Zehnder Interferometer.....	123
5.2	Optical Folding-Flash A/D Converter .....	127
5.3	Matrix-Multiplication and Beam Deflection .....	129
5.4	Other Approaches to Photonic A/D Conversion .....	131
	Summary .....	131
<b>6.</b>	<b>Oversampled Photonic Analog-to-Digital Conversion.....</b>	<b>133</b>
6.1	Oversampling Photonic A/D Conversion.....	133
6.2	Optical Oversampled Modulators .....	134
6.2.1	The Interferometric Modulator .....	135

6.2.2	The Noninterferometric Modulator .....	138
6.3	The Digital Postprocessor .....	140
6.3.1	Electronic Postprocessing.....	140
6.3.2	Optoelectronic Postprocessing.....	141
6.3.3	Observations.....	141
6.4	Performance Analysis .....	142
6.4.1	Linear Arithmetic Errors .....	142
6.4.2	Quantization Noise Spectra .....	143
6.4.3	Cascade Error Tolerances.....	151
6.5	Experimental Proof-of-Concept Photonic Modulator Demonstration .....	157
6.5.1	Noninterferometric Optical Subtraction .....	158
6.5.2	Experimental Photonic First-Order Oversampled Modulator.....	162
	Summary .....	166
<b>7.</b>	<b>Low Resolution, Two-Dimensional Analog-to-Digital Conversion: Digital Image Halftoning .....</b>	<b>169</b>
7.1	Introduction .....	169
7.2	Approaches to Halftoning.....	170
7.3	The Error Diffusion Algorithm .....	171
7.4	Neural Network Formalism .....	175
7.4.1	The Hopfield-Type Neural Network .....	175
7.4.2	Observations.....	180
7.5	The Error Diffusion Neural Network .....	181
7.5.1	The Error Diffusion Filter .....	186
7.5.2	Observations.....	189
7.6	Quantitative Performance Metrics .....	191
7.6.1	Power Spectrum Estimation .....	193
7.6.2	Radially Averaged Power Spectra and Anisotropy .....	195
7.7	Performance Analysis .....	198
7.7.1	Floyd–Steinberg Performance Analysis .....	198
7.7.2	Symmetric Jarvis Performance Analysis .....	202
7.7.3	Error Diffusion Neural Network Performance Analysis.....	202
7.8	Extensions to Color.....	211
	Summary .....	212
<b>8.</b>	<b>A Photonic-Based Error Diffusion Neural Network .....</b>	<b>215</b>
8.1	First-Generation CMOS-SEED Error Diffusion Neural Array .....	216
8.2	Second-Generation CMOS-SEED Error Diffusion Neural Array.....	219
8.2.1	Detailed Circuit Description .....	225
8.2.2	Modeling and Simulation .....	227
8.2.3	Experimental Performance.....	231

8.2.4	Observations . . . . .	235
8.3	OPTOCHIP: A 2-D Neural Array Employing Epitaxy-on-Electronics . . . . .	238
8.3.1	The OPTOCHIP Project . . . . .	238
8.3.2	Description of Device Architecture . . . . .	239
8.3.3	Observations . . . . .	248
8.4	Extensions: A Photonic Error Diffusion Filter . . . . .	249
8.4.1	Design of the Diffractive Optical Filter . . . . .	250
8.4.2	Fabrication Error Analysis . . . . .	255
8.4.3	Experimental Characterization . . . . .	256
8.4.4	Impact of Fabrication Errors on Halftoning Performance . . . . .	260
	Summary . . . . .	262
<b>9.</b>	<b>Photonic A/D Conversion Based on a Fully Connected Distributed Mesh Feedback Architecture . . . . .</b>	<b>267</b>
9.1	Temporal and Spatial Error Diffusion . . . . .	267
9.1.1	Spectral Noise Shaping Duality . . . . .	268
9.1.2	Postprocessing Duality . . . . .	271
9.1.3	Limit Cycle Oscillation Duality . . . . .	272
9.1.4	Observations . . . . .	272
9.2	Spatially Distributed Oversampled A/D Conversion . . . . .	272
9.3	A 2-D Fully Connected Distributed Mesh Feedback Architec- ture . . . . .	276
9.3.1	Mismatch Effects in the Fully Connected Distributed Mesh Feedback Architecture . . . . .	278
9.4	A/D Conversion Using Spatial Oversampling and Error Dif- fusion . . . . .	280
9.4.1	Temporal-to-Spatial Conversion . . . . .	281
9.4.2	The Two-Dimensional Error Diffusion Neural Network . . . . .	281
9.4.3	The Postprocessor . . . . .	282
9.4.4	Spectral Noise Shaping . . . . .	283
9.4.5	Observations . . . . .	283
9.5	Three-Dimensional Extensions . . . . .	284
9.5.1	Space-Time Processing Architectures . . . . .	285
	Summary . . . . .	286
<b>10.</b>	<b>Trends in Photonic Analog-to-Digital Conversion . . . . .</b>	<b>289</b>
10.1	Time-Interleaving A/D Converter Architectures . . . . .	289
10.1.1	Understanding Time-Interleaved Architectures . . . . .	289
10.1.2	Mismatch Effects in Time-Interleaved Architectures . . . . .	292
10.1.3	Block Filter Description of Time-Interleaving . . . . .	300
10.2	Photonic Channelized A/D Architectures . . . . .	306
10.2.1	Optical Time-Division Demultiplexing Architectures . . . . .	306

10.2.2 Wavelength Channelization Architectures ..... 308

10.3 Time-Stretching Using Dispersive Optical Elements ..... 311

10.4 Ultra-Fast Laser Sources with Low Jitter ..... 313

10.5 Novel Optical Sampling Techniques ..... 313

10.6 Broadband Optical Modulators and Switches ..... 314

Summary ..... 315

**References** ..... 317

**Index** ..... 327