

Contents

Preface to the Second Edition	vii
Preface to the First Edition	ix
GENERAL INTRODUCTION.	
The User's Guide	1
Introduction	1
1. Mechanism and Description of Chaos. The Finite-Dimensional Case	2
2. Mechanism and Description of Chaos. The Infinite-Dimensional Case	6
3. The Global Attractor. Reduction to Finite Dimension	10
4. Remarks on the Computational Aspect	12
5. The User's Guide	13
CHAPTER I	
General Results and Concepts on Invariant Sets and Attractors	15
Introduction	15
1. Semigroups, Invariant Sets, and Attractors	16
1.1. Semigroups of Operators	16
1.2. Functional Invariant Sets	18
1.3. Absorbing Sets and Attractors	20
1.4. A Remark on the Stability of the Attractors	28
2. Examples in Ordinary Differential Equations	29
2.1. The Pendulum	29
2.2. The Minea System	32
2.3. The Lorenz Model	34
3. Fractal Interpolation and Attractors	36
3.1. The General Framework	37
3.2. The Interpolation Process	38
3.3. Proof of Theorem 3.1	40

CHAPTER II

Elements of Functional Analysis	43
Introduction	43
1. Function Spaces	43
1.1. Definition of the Spaces. Notations	43
1.2. Properties of Sobolev Spaces	45
1.3. Other Sobolev Spaces	49
1.4. Further Properties of Sobolev Spaces	51
2. Linear Operators	53
2.1. Bilinear Forms and Linear Operators	54
2.2. "Concrete" Examples of Linear Operators	58
3. Linear Evolution Equations of the First Order in Time	68
3.1. Hypotheses	68
3.2. A Result of Existence and Uniqueness	70
3.3. Regularity Results	71
3.4. Time-Dependent Operators	74
4. Linear Evolution Equations of the Second Order in Time	76
4.1. The Evolution Problem	76
4.2. Another Result	79
4.3. Time-Dependent Operators	80

CHAPTER III

Attractors of the Dissipative Evolution Equation of the First Order in Time: Reaction–Diffusion Equations. Fluid Mechanics and Pattern Formation Equations	82
Introduction	82
1. Reaction–Diffusion Equations	83
1.1. Equations with a Polynomial Nonlinearity	84
1.2. Equations with an Invariant Region	93
2. Navier–Stokes Equations ($n = 2$)	104
2.1. The Equations and Their Mathematical Setting	105
2.2. Absorbing Sets and Attractors	109
2.3. Proof of Theorem 2.1	113
3. Other Equations in Fluid Mechanics	115
3.1. Abstract Equation. General Results	115
3.2. Fluid Driven by Its Boundary	118
3.3. Magnetohydrodynamics (MHD)	123
3.4. Geophysical Flows (Flows on a Manifold)	127
3.5. Thermohydraulics	133
4. Some Pattern Formation Equations	141
4.1. The Kuramoto–Sivashinsky Equation	141
4.2. The Cahn–Hilliard Equation	151
5. Semilinear Equations	162
5.1. The Equations. The Semigroup	162
5.2. Absorbing Sets and Attractors	167
5.3. Proof of Theorem 5.2	170

6. Backward Uniqueness	171
6.1. An Abstract Result	172
6.2. Applications	175

CHAPTER IV

Attractors of Dissipative Wave Equations 179

Introduction	179
1. Linear Equations: Summary and Additional Results	180
1.1. The General Framework	181
1.2. Exponential Decay	183
1.3. Bounded Solutions on the Real Line	186
2. The Sine–Gordon Equation	188
2.1. The Equation and Its Mathematical Setting	189
2.2. Absorbing Sets and Attractors	191
2.3. Other Boundary Conditions	196
3. A Nonlinear Wave Equation of Relativistic Quantum Mechanics	202
3.1. The Equation and Its Mathematical Setting	202
3.2. Absorbing Sets and Attractors	206
4. An Abstract Wave Equation	212
4.1. The Abstract Equation. The Group of Operators	212
4.2. Absorbing Sets and Attractors	215
4.3. Examples	220
4.4. Proof of Theorem 4.1 (Sketch)	224
5. The Ginzburg–Landau Equation	226
5.1. The Equations and Its Mathematical Setting	227
5.2. Absorbing Sets and Attractors	230
6. Weakly Dissipative Equations. I. The Nonlinear Schrödinger Equation	234
6.1. The Nonlinear Schrödinger Equation	235
6.2. Existence and Uniqueness of Solution. Absorbing Sets	236
6.3. Decomposition of the Semigroup	239
6.4. Comparison of z and Z for Large Times	250
6.5. Application to the Attractor. The Main Result	252
6.6. Determining Modes	254
7. Weakly Dissipative Equations II. The Korteweg–De Vries Equation	256
7.1. The Equation and its Mathematical Setting	257
7.2. Absorbing Sets and Attractors	260
7.3. Regularity of the Attractor	269
7.4. Proof of the Results in Section 7.1	272
7.5. Proof of Proposition 7.2	290
8. Unbounded Case: The Lack of Compactness	306
8.1. Preliminaries	307
8.2. The Global Attractor	312
9. Regularity of Attractors	316
9.1. A Preliminary Result	317
9.2. Example of Partial Regularity	322
9.3. Example of \mathcal{C}^∞ Regularity	324
10. Stability of Attractors	329

CHAPTER V

Lyapunov Exponents and Dimension of Attractors	335
Introduction	335
1. Linear and Multilinear Algebra	336
1.1. Exterior Product of Hilbert Spaces	336
1.2. Multilinear Operators and Exterior Products	340
1.3. Image of a Ball by a Linear Operator	347
2. Lyapunov Exponents and Lyapunov Numbers	355
2.1. Distortion of Volumes Produced by the Semigroup	355
2.2. Definition of the Lyapunov Exponents and Lyapunov Numbers	357
2.3. Evolution of the Volume Element and Its Exponential Decay: The Abstract Framework	362
3. Hausdorff and Fractal Dimensions of Attractors	365
3.1. Hausdorff and Fractal Dimensions	365
3.2. Covering Lemmas	367
3.3. The Main Results	368
3.4. Application to Evolution Equations	377

CHAPTER VI

Explicit Bounds on the Number of Degrees of Freedom and the Dimension of Attractors of Some Physical Systems	380
Introduction	380
1. The Lorenz Attractor	381
2. Reaction-Diffusion Equations	385
2.1. Equations with a Polynomial Nonlinearity	386
2.2. Equations with an Invariant Region	392
3. Navier-Stokes Equations ($n = 2$)	397
3.1. General Boundary Conditions	398
3.2. Improvements for the Space-Periodic Case	404
4. Other Equations in Fluid Mechanics	412
4.1. The Linearized Equations (The Abstract Framework)	412
4.2. Fluid Driven by Its Boundary	413
4.3. Magnetohydrodynamics	420
4.4. Flows on a Manifold	425
4.5. Thermohydraulics	430
5. Pattern Formation Equations	434
5.1. The Kuramoto-Sivashinsky Equation	435
5.2. The Cahn-Hilliard Equations	441
6. Dissipative Wave Equations	446
6.1. The Linearized Equation	447
6.2. Dimension of the Attractor	450
6.3. Sine-Gordon Equations	453
6.4. Some Lemmas	454
7. The Ginzburg-Landau Equation	456
7.1. The Linearized Equation	456
7.2. Dimension of the Attractor	457
8. Differentiability of the Semigroup	461

CHAPTER VII	
Non-Well-Posed Problems, Unstable Manifolds, Lyapunov Functions, and Lower Bounds on Dimensions	465
Introduction	465
PART A: NON-WELL-POSED PROBLEMS	466
1. Dissipativity and Well Posedness	466
1.1. General Definitions	466
1.2. The Class of Problems Studied	467
1.3. The Main Result	471
2. Estimate of Dimension for Non-Well-Posed Problems: Examples in Fluid Dynamics	475
2.1. The Equations and Their Linearization	476
2.2. Estimate of the Dimension of X	477
2.3. The Three-Dimensional Navier–Stokes Equations	479
PART B: UNSTABLE MANIFOLDS, LYAPUNOV FUNCTIONS, AND LOWER BOUNDS ON DIMENSIONS	482
3. Stable and Unstable Manifolds	482
3.1. Structure of a Mapping in the Neighborhood of a Fixed Point	483
3.2. Application to Attractors	485
3.3. Unstable Manifold of a Compact Invariant Set	489
4. The Attractor of a Semigroup with a Lyapunov Function	490
4.1. A General Result	490
4.2. Additional Results	492
4.3. Examples	495
5. Lower Bounds on Dimensions of Attractors: An Example	496
CHAPTER VIII	
The Cone and Squeezing Properties. Inertial Manifolds	498
Introduction	498
1. The Cone Property	499
1.1. The Cone Property	499
1.2. Generalizations	502
1.3. The Squeezing Property	504
2. Construction of an Inertial Manifold: Description of the Method	505
2.1. Inertial Manifolds: The Method of Construction	505
2.2. The Initial and Prepared Equations	506
2.3. The Mapping \mathcal{F}	509
3. Existence of an Inertial Manifold	512
3.1. The Result of Existence	513
3.2. First Properties of \mathcal{F}	514
3.3. Utilization of the Cone Property	516
3.4. Proof of Theorem 3.1 (End)	522
3.5. Another Form of Theorem 3.1	525
4. Examples	526
4.1. Example 1: The Kuramoto–Sivashinsky Equation	526

4.2. Example 2: Approximate Inertial Manifolds for the Navier–Stokes Equations	528
4.3. Example 3: Reaction–Diffusion Equations	530
4.4. Example 4: The Ginzburg–Landau Equation	531
5. Approximation and Stability of the Inertial Manifold with Respect to Perturbations	532

CHAPTER IX

Inertial Manifolds and Slow Manifolds. The Non-Self-Adjoint Case 536

Introduction	536
1. The Functional Setting	537
1.1. Notations and Hypotheses	537
1.2. Construction of the Inertial Manifold	539
2. The Main Result (Lipschitz Case)	541
2.1. Existence of Inertial Manifolds	541
2.2. Properties of \mathcal{F}	542
2.3. Smoothness Property of Φ (Φ is \mathcal{C}^1)	548
2.4. Proof of Theorem 2.1	550
3. Complements and Applications	553
3.1. The Locally Lipschitz Case	553
3.2. Dimension of the Inertial Manifold	555
4. Inertial Manifolds and Slow Manifolds	559
4.1. The Motivation	559
4.2. The Abstract Equation	560
4.3. An Equation of Navier–Stokes Type	562

CHAPTER X

Approximation of Attractors and Inertial Manifolds.
Convergent Families of Approximate Inertial Manifolds 565

Introduction	565
1. Construction of the Manifolds	566
1.1. Approximation of the Differential Equation	566
1.2. The Approximate Manifolds	569
2. Approximation of Attractors	571
2.1. Properties of \mathcal{F}_N^i	571
2.2. Distance to the Attractor	573
2.3. The Main Result	576
3. Convergent Families of Approximate Inertial Manifolds	578
3.1. Properties of \mathcal{F}_N^i	579
3.2. Distance to the Exact Inertial Manifold	581
3.3. Convergence to the Exact Inertial Manifold	583

APPENDIX

Collective Sobolev Inequalities 585

Introduction	585
1. Notations and Hypotheses	586
1.1. The Operator \mathbb{U}	586
1.2. The Schrödinger-Type Operators	588

2. Spectral Estimates for Schrödinger-Type Operators	590
2.1. The Birman–Schwinger Inequality	590
2.2. The Spectral Estimate	593
3. Generalization of the Sobolev–Lieb–Thirring Inequality (I)	596
4. Generalization of the Sobolev–Lieb–Thirring Inequality (II)	602
4.1. The Space-Periodic Case	603
4.2. The General Case	605
4.3. Proof of Theorem 4.1	607
5. Examples	610
Bibliography	613
Index	645