Contents

1 Introduction 1

2 Acoustic Wavefields 5
2.1 Mathematical Foundations 6
2.1.1 Euler's Equation 7
2.1.2 The Acoustic Wave Equation 8
2.2 Point Sources and Plane-Waves 9
2.2.1 Point Sources in Three Dimensions 9
2.2.2 Plane-Waves in Three Dimensions 12
2.2.3 Point Sources and Plane-Waves in Two Dimensions 13
2.3 Acoustic Wave Equation in Cylindrical Coordinates 15
2.3.1 General Solution of the Acoustic Wave Equation in Cylindrical Coordinates 15
2.3.2 The Cylindrical Radiator 19
2.3.3 The Cylindrical Scatterer 23
2.4 Acoustic Wave Equation in Spherical Coordinates 33
2.4.1 General Solution of the Acoustic Wave Equation in Spherical Coordinates 33
2.4.2 The Spherical Radiator 35
2.4.3 The Spherical Scatterer 38

3 Wavefield Decomposition 41
3.1 Wavefield Decomposition Using Circular Apertures and Arrays 42
3.1.1 Continuous Circular Apertures 42
3.1.2 Directional Circular Apertures 53
3.1.3 Circularly Symmetric Microphone Arrays 57

3.1.4 Representation of a 2D Wavefield Using a Finite Number of Harmonics ... 63
3.1.5 Circular Apertures and Nearfield Sources ... 64
3.2 Wavefield Decomposition Using Spherical Apertures and Arrays 68
3.2.1 Continuous Spherical Apertures ... 68
3.2.2 Directional Spherical Apertures ... 74
3.2.3 Spherical Microphone Arrays ... 78
3.2.4 Representation of a 3D Wavefield Using a Finite Number of Harmonics ... 84
3.2.5 Spherical Apertures and Nearfield Sources ... 86
3.3 Wavefield Decomposition Using Other Types of Apertures ... 87
3.3.1 Linear Apertures ... 87
3.3.2 Cylindrical Apertures ... 90

4 Acoustic Scene Analysis Using Classical Array Signal Processing ... 95
4.1 Overview ... 96
4.2 Signal Models and Assumptions ... 97
4.2.1 Sensor-Related Assumptions ... 98
4.2.2 Time-Domain Signal Model ... 99
4.2.3 Frequency-Domain Signal Model ... 100
4.3 Waveform Estimation ... 102
4.3.1 Space-Time Filtering and Beamforming ... 102
4.3.2 Performance Measures ... 105
4.3.3 Data-Independent Waveform Estimation ... 112
4.3.4 Data-Dependent Waveform Estimation ... 119
4.4 Parameter Estimation ... 121
4.4.1 Performance Measure – The Cramér-Rao Lower Bound ... 122
4.4.2 TDOA-Based Algorithms ... 124
4.4.3 Subspace-Based DOA Estimation Algorithms ... 130
4.4.4 Detection of the Number of Active Sources ... 146

5 Acoustic Scene Analysis Using Modal Array Signal Processing ... 149
5.1 Waveform Estimation Using Eigenbeam Processing ... 149
5.1.1 The Modal Beamformer – Pattern Synthesis ... 150
5.1.2 Optimum Beampattern Design Using Eigenbeams ... 152
5.1.3 The Adaptive Modal Beamformer ... 156
5.2 Parameter Estimation Using Eigenbeam Processing ... 157
5.2.1 Eigenbeam Array Manifold Vectors ... 157
5.2.2 Eigenbeam Signal Model and Modal Signal Subspaces ... 158
5.2.3 Eigenbeam Processing and the CRLB ... 159
5.2.4 Eigenbeam-Based DOA Estimation Using Circular Apertures ... 160
5.2.5 Eigenbeam Processing Using Spherical Apertures ... 170

5.2.6 Resolution Capacity and DOA Estimation of More than Two Wideband Sources ... 177
5.2.7 Detection of the Number of Active Sources ... 178

6 A Practical Acoustic Scene Analysis System ... 189
6.1 System Details ... 189
6.1.1 Hardware ... 189
6.1.2 Algorithm Implementation ... 191
6.1.3 Software ... 192
6.2 Evaluations ... 192
6.2.1 Evaluation Setup ... 192
6.2.2 Waveform Estimation ... 195
6.2.3 Parameter Estimation ... 198

7 Summary and Conclusions ... 205

A Signal Transforms ... 209
A.1 One- and Multi-dimensional Fourier Transforms ... 209
A.2 Fourier Series ... 209
A.3 Spherical Harmonics Transform ... 210

B Special Functions ... 211
B.1 Bessel Functions ... 211
B.2 Spherical Bessel Functions ... 214
B.3 Legendre Polynomials, Associated Legendre Functions, and Spherical Harmonics ... 216

C Microphone Arrays and Nearfield/Farfield Sources ... 221

D Eigenbeam-CRLB for a Single Source ... 225

E Frequency-Independence of EB-ESPRIT for a Single Source ... 227

F A Practical Acoustic Scene Analysis System – Further Results ... 231

References ... 243

Index ... 251