

---

# Contents

CHAPTER 1: INTRODUCTION . . . . .	11
1.0 The Phenomenon . . . . .	11
1.1 The Transformational-generative Framework . . . . .	11
1.2 A Hypothesis . . . . .	12
1.3 Aim and Scope . . . . .	12
1.4 The Reflexive and Reciprocal Processes. . . . .	12
CHAPTER 2: BACKGROUND . . . . .	15
2.0 Introduction . . . . .	15
2.1 The Standard Theory of Transformational Grammar . . . . .	15
2.1.1 Definition of the Pronominalization Transformation . . . . .	15
2.1.2 Some Problems with the Standard Theory Approach. . . . .	17
2.2 Langacker's Precede-and-Command Relations . . . . .	18
2.3 A Note on Pronominalization and Rule Ordering . . . . .	21
2.4 Problems with the Pronominalization Hypothesis . . . . .	23
2.5 From Transformation to Semantic Interpretive Rule . . . . .	25
2.6 C-command: A New Restriction on Anaphora . . . . .	27
2.6.1 Problems Connected with the Precede-and- Command Condition . . . . .	27
2.6.2 C-command . . . . .	28
2.6.3 Differences Between Precede-and-Command and C-command . . . . .	29
2.7 Anaphora Within the Theory of Government and Binding . . . . .	30
CHAPTER 3: PROBLEMS WITH A PURELY SYNTACTIC APPROACH TO ANAPHORA . . . . .	33
3.0 Introduction . . . . .	33
3.1 Empirical Problems. . . . .	33

3.1.1	Proposed PPs . . . . .	33
3.1.2	Subject/Non-subject Asymmetry. . . . .	37
3.1.3	Other PP Problems. . . . .	38
3.1.4	Subjacency . . . . .	39
3.2	Theory-internal Problems. . . . .	40
3.2.1	Grammaticality Judgements . . . . .	41
3.2.1.1	General Problems . . . . .	41
3.2.1.2	Possessive NPs . . . . .	42
3.2.1.3	Consistency . . . . .	43
3.2.1.4	Proposed Adverbials Revisited. . . . .	44
3.2.2	Ordering. . . . .	45
3.2.3	Coordinate Structures. . . . .	48
3.2.4	Sentential Adverbials . . . . .	50
3.2.5	Definiteness/Specificity . . . . .	51
3.2.6	Effects of Constituent-length and Intonation. . . . .	51
3.3	Conclusion. . . . .	52

**CHAPTER 4: A PRAGMATIC ANALYSIS OF ANAPHORA . . . . . 53**

4.0	Introduction . . . . .	53
4.1	Previous Research. . . . .	53
4.1.1	Kuno's Analysis . . . . .	53
4.1.2	Bickerton's Analysis . . . . .	55
4.1.3	Bolinger's Analysis. . . . .	57
4.2	A New Pragmatic Analysis of Anaphora . . . . .	58
4.2.1	Introduction . . . . .	58
4.2.2	General Framework. . . . .	59
4.2.3	Definitions of Concepts. . . . .	60
4.2.3.1	Theme/Rheme . . . . .	60
4.2.3.2	Unmarked/Marked Theme . . . . .	60
4.2.3.3	Given/New . . . . .	61
4.2.3.4	Focus. . . . .	62
4.2.3.5	Thematic/Rhematic. . . . .	62
4.2.3.6	Rheme Proper. . . . .	63
4.2.3.7	Grammaticality/Acceptability . . . . .	63
4.2.4	Outlining the Theory . . . . .	63
4.2.4.1	General Principles . . . . .	63
4.2.4.2	Two Restrictions . . . . .	65
4.2.4.3	General Tendency . . . . .	66
4.2.4.4	Formulation of a Super-principle . . . . .	67
4.2.4.5	A System Based on Scalar Values . . . . .	67

CHAPTER 5: TESTING THE HYPOTHESIS . . . . .	73
5.0 Introduction . . . . .	73
5.1 Technical Aspects. . . . .	73
5.1.1 Regular Precede-and-Command Sentences . . . . .	73
5.1.2 Sentences Explained in Terms of C-command . . . . .	75
5.1.2.1 Preposed PPs . . . . .	75
5.1.2.2 The Effects of Length and Intonation . . . . .	80
5.1.2.3 Subjacency . . . . .	81
5.1.2.4 Other 'Problematic' PPs . . . . .	82
5.1.2.5 Possessive NPs . . . . .	83
5.2 Theoretical Aspects of the Pragmatic Theory. . . . .	85
5.2.1 Subject/Non-subject Asymmetry . . . . .	85
5.2.2 Ordering . . . . .	87
5.2.2.1 Pronominalization and Extraposition . . . . .	87
5.2.2.2 Pronominalization and Passive . . . . .	89
5.2.2.3 Pronominalization and <i>there</i> - Insertion . . . . .	90
5.2.2.4 The Transformations PP Preposing and Pseudo-clefting . . . . .	91
5.2.3 Sentential Adverbials and Linear Order . . . . .	91
5.3 The Wider Explanatory Power of the Pragmatic Theory. . . . .	92
5.3.1 Coordinate Structures . . . . .	92
5.3.2 Indefinite NPs . . . . .	93
CHAPTER 6: CONCLUSION. . . . .	97
6.0 General Remarks. . . . .	97
6.1 Summary of the Theory . . . . .	97
6.2 Remaining Problems . . . . .	98
6.3 The Framework. . . . .	102
6.4 A Theory of Processing . . . . .	103
6.5 Further Research . . . . .	105
NOTES . . . . .	107
BIBLIOGRAPHY . . . . .	115
References . . . . .	115
Sources Consulted but not Referred to . . . . .	117