

Contents

Preface	xi
Trademarks	xvii
CHAPTER 1 Introduction	1
1.1 The Basics	1
1.2 TP System Architecture	5
1.3 Atomicity, Consistency, Isolation, and Durability	9
1.4 Two-Phase Commit	15
1.5 Transaction Processing Performance	17
1.6 Availability	22
1.7 Styles of Systems	24
1.8 TP System Configurations	28
1.9 Summary	29
CHAPTER 2 Transaction Processing Abstractions	31
2.1 Introduction	31
2.2 Transactions	31
2.3 Processes and Threads	41
2.4 Remote Procedure Call	46
2.5 Shared State	57
2.6 Scalability	63
2.7 Summary	69
CHAPTER 3 Transaction Processing Application Architecture	73
3.1 Introduction	73
3.2 Application Architecture	74
3.3 Front-End Program	78
3.4 Request Controller	88
3.5 Transaction Servers	91
3.6 Transactional Middleware	92
3.7 Database Servers Versus Transactional Middleware	94
3.8 Summary	96
CHAPTER 4 Queued Transaction Processing	99
4.1 Why Use Queues?	99
4.2 The Queued Transaction Processing Model	102
4.3 Client Recovery	104
4.4 Handling Non-Undoable Operations	107
4.5 The Queue Manager	108
4.6 Publish-Subscribe	112
4.7 Other Message-Oriented Middleware	113

4.8	Queuing Products and Standards	115
4.9	Summary	118

CHAPTER 5 Business Process Management 121

5.1	Introduction	121
5.2	Business Process Definition.	123
5.3	Business Process Execution.	124
5.4	Transactional Properties.	126
5.5	Making Process State Durable.	129
5.6	Other Models of Business Processes	134
5.7	Products and Standards	135
5.8	Summary	139

CHAPTER 6 Locking 141

6.1	Introduction	141
6.2	Implementation	145
6.3	Deadlocks.	150
6.4	Performance	154
6.5	Hot Spots	159
6.6	Query-Update Problems.	163
6.7	Avoiding Phantoms	169
6.8	Optimistic Concurrency Control	171
6.9	B-Tree Locking	172
6.10	Multigranularity Locking.	178
6.11	Locking Nested Transactions.	181
6.12	Summary	182
6.13	Appendix: Basic Serializability Theory.	183

CHAPTER 7 System Recovery 185

7.1	Causes of System Failure.	185
7.2	A Model for System Recovery	188
7.3	Introduction to Database Recovery	194
7.4	The System Model.	196
7.5	Database Recovery Manager	201
7.6	Shadow-Paging Algorithm.	203
7.7	Log-Based Database Recovery Algorithms.	207
7.8	Optimizing Restart in Log-Based Algorithms.	211
7.9	Media Recovery	217
7.10	Summary	221

CHAPTER 8 Two-Phase Commit. 223

8.1	Introduction	223
8.2	The Two-Phase Commit Protocol	224
8.3	Failure Handling	228
8.4	Optimizations and Variations.	232

8.5	Process Structuring	238
8.6	User Checklist	243
8.7	Summary	243
CHAPTER 9	Replication	245
9.1	Introduction	245
9.2	Replicated Servers	245
9.3	Synchronizing Updates to Replicated Data	248
9.4	Single-Master Primary-Copy Replication	252
9.5	Multimaster Replication	261
9.6	Other Replication Techniques	273
9.7	Data Sharing Systems	274
9.8	Summary	278
CHAPTER 10	Transactional Middleware Products and Standards	281
10.1	Introduction	281
10.2	Web Browser Front-End Programs	283
10.3	.NET Framework	285
10.4	Java Enterprise Edition	297
10.5	Service-Oriented Architecture	311
10.6	Persistence Abstraction Mechanisms	315
10.7	Legacy TP Monitors	324
10.8	TP Standards	339
10.9	Summary	346
CHAPTER 11	Future Trends	349
11.1	Introduction	349
11.2	Cloud Computing	349
11.3	Scalable Distributed Computing	351
11.4	Memory Technology	353
11.5	Streams and Event Processing	353
11.6	Summary	354
	Glossary of Acronyms	355
	Bibliographic Notes	359
	Bibliography	365
	Index	371