

Contents

<i>List of Tables, Figures and Boxes</i>	vii
<i>List of Contributors</i>	ix
<i>List of Acronyms and Abbreviations</i>	xiii
Foreword by Joachim Voss, Director General, International Center for Tropical Agriculture (CIAT)	xvi
<i>Preface</i>	xviii
<i>Acknowledgements</i>	xx
1 Introduction: Uniting Science and Participation in the Process of Innovation – Research for Development	1
<i>Jacqui Ashby</i>	
Introduction	1
The challenge for research	4
Definitions of participatory research	8
Adding value to resource management with participatory research	14
Common principles of participatory research	15
2 Navigating Complexity, Diversity and Dynamism: Reflections on Research for Natural Resource Management	20
<i>Cynthia McDougall and Ann Braun</i>	
Introduction	20
The challenge: complexity, diversity and dynamism in human and natural landscapes	21
Traditional and participatory research: key dimensions of difference	23
Diversity analysis in NRM research	28
Putting it together: reflections on navigating the research spectrum	33
Conclusions	44
3 Whose Research, Whose Agenda?	48
<i>Adrienne Martin and Alistair Sutherland</i>	
Introduction	48
Ownership at the macro level	49
Building ownership at the meso level: ownership and governance of communal resources	50
Ownership over the research process at the community level	52
Sustaining ownership throughout the research process	55
Ownership and sharing knowledge	56

	Gender and stakeholder involvement	56
	Motivation and ownership of technical innovation	58
	Managing a complex process	58
	Conclusions	60
4	Scaling Up and Out	67
	<i>Sieglinde Snapp and K.L. Heong</i>	
	Introduction	67
	Situating natural resource management	69
	The challenge of synthesizing NRM knowledge	71
	Steps to scaling up: enhancing relevance and accountability	73
	Is participation possible on a large scale?	74
	Steps to scaling up: building quality partnerships	75
	Scaling up participatory NRM to the watershed level	77
	Beyond the watershed: the continuum of scaling up and out	78
	Ways forward	81
	Summary	83
5	Transforming Institutions to Achieve Innovation in Research and Development	88
	<i>Ann Stroud</i>	
	Introduction	88
	Key elements and conditions to consider when fostering institutional change	93
	Progress and future challenges	107
6	Principles for Good Practice in Participatory Research: Reflecting on Lessons from the Field	113
	<i>Ronnie Vernooy and Cynthia McDougall</i>	
	Reflecting on practice	113
	Understanding the research context	114
	Towards good practice: shared learning from experience	117
	Good practice in action: five case studies	119
	Conclusions: a framework for reflection and change	135
	Appendix 1: Principles and indicators of good practice in PR on NRM	136
	Appendix 2: List of indicators of good practice generated at the Chatham meeting	137
7	Participatory Research, Natural Resource Management and Rural Transformation: More Lessons from the Field	142
	<i>Linden Vincent</i>	
	Introduction: why learn lessons on participatory research?	142
	Changing contexts of participatory research	145
	PNRMR: why put agriculture into a resource perspective?	153
	Building new interfaces for NRM	158
	Conclusions	164

8	Participation in Context: What's Past, What's Present, and What's Next	169
	<i>Dianne E Rocheleau</i>	
	Introduction	169
	Ethics, standards and professional peers	170
	From participatory technology transfer to collaborative science	173
	Context: sedentary science in place or a science situated in time and space	177
	Beyond scaling up: crossing scales and envisioning futures	179
	Conclusion	181
	Annexe 1: Summaries of Case Studies	184
1	Participatory agroecosystem management – an approach used by benchmark location research teams in the African Highlands Initiative Eco-regional Programme <i>Ann Stroud</i>	186
2	Participatory action research on adaptive collaborative management of community forests: A multi-country model <i>Cynthia McDougall with Ravi Prabhu and Yanti Kusumanto</i>	189
3	The farmer-driven Landcare Movement: An institutional innovation with implications for extension and research <i>Dennis Garrity</i>	192
4	The Farmer Research Group (CIAL) as a community-based natural resource management organization <i>Ann Braun</i>	194
5	Long-term natural resource management research in intensive production systems: ICARDA's experience in Egypt <i>Richard Tutwiler</i>	197
6	Management of Plant Genetic Resources in agroecosystems: <i>in situ</i> conservation on-farm <i>Devra Jarvis and Heather Klemick</i>	199
7	Eastern Himalayan initiative on gender, ethnicity and agrobiodiversity management <i>Barun Gurung</i>	202
8	Participatory selection and strategic use of multipurpose forages in hillsides of Central America <i>Michael Peters</i>	205
9	Focus on integrating methods and approaches to increase gender/stakeholder involvement, collaborative management of natural resource management, and decision-making support <i>Peter Brinn</i>	208
10	Farmer participatory experiments in pest management <i>K L Heong and M M Escalada</i>	210
11	Farmers' ability to manage a devastating plant disease – potato late blight <i>Rebecca Nelson</i>	212
12	Developing and implementing an innovative community approach to the control of bacterial wilt (<i>Pseudomonas solanacearum</i>) of potatoes (<i>Solanum tuberosum</i>) <i>Barry Pound</i>	215
13	Participatory management of Kapuwai's wetland (Pallisa District, Uganda): A clear need and some steps towards fulfilling it <i>Grazia Borrini-Feyerabend</i>	217
14	Participatory research at the landscape level: The Kumbhan water trough case <i>Czech Conroy and D V Rangnekar</i>	221

15	Participatory research at landscape level: Flood-prone ecosystems in Bangladesh and Vietnam <i>Madan M Dey and Mark Prein</i>	223
16	Water management, agricultural development and poverty eradication in the former Homelands of South Africa <i>Barbara van Koppen</i>	226
17	Innovation in irrigation – working in a ‘participation complex’ <i>Linden Vincent and Puspa Khanal</i>	228
18	Methods used to address resource issues in integrated watershed management in Nepalese watersheds <i>Hans Schreier, Sandra Brown and P B Shah</i>	231
19	A comparison of farmer participatory research methods <i>Sieglinde Snapp</i>	234
20	Soil and water conservation – historical and geographical perspectives on participation <i>Alistair Sutherland</i>	237
21	Improving farmers’ risk management strategies for resource-poor and drought-prone farming systems in southern Africa <i>Kit Vaughan and Zondai Shamudzarira</i>	240
22	Participatory mapping, analysis and monitoring of the natural resource base in small watersheds: Insights from Nicaragua <i>Ronnie Vernooy and Noemi Espinoza</i>	243
23	Observations on the use of information tools in participatory contexts: Access to information and empowerment <i>Jim Williams</i>	245
	<i>Index</i>	248

List of Tables, Figures and Boxes

Tables

2.1	Comparison of traditional and participatory research on several key dimensions	26
2.2	Types and significance of diversity approaches from case studies	31
2.3	Strengths, weaknesses and risks of participatory natural resource management research approaches (relative to traditional approaches)	38
5.1	Comparing characteristics of learning and bureaucratic organizations	90
5.2	Organizational analysis framework	108
6.1	Chatham case studies that illustrate the five principles	119
A.1	The ecosystem and natural resource focus of the case studies	184
A.2	Dimensions of participatory natural resource management highlighted in the case studies	185

Figures

2.1	Key characteristics of natural systems	22
2.2	Four 'prototypical' approaches to innovation development	28
4.1	A comparison of participatory learning and research approaches in terms of scale of operation, and degree of farmer versus researcher involvement	69
4.2	Alternative pathways for enhancing knowledge distillation, testing and dissemination in genetic improvement and natural resource management research	72
6.1	Stages of a CIAL process	133

Boxes

1.1	Adaptive, participatory natural resource management involves ecological literacy	6
1.2	Cross-scale effects in adaptive management	7
1.3	Common organizational problems in participatory research	13
1.4	Principles of participatory research	17
2.1	The Biggs typology of participation	24
2.2	Human diversity and sustainable livelihoods	29
2.3	A typology of diversity analysis	30

2.4	Science quality in participatory research	41
3.1	Forging new institutional arrangements for common property resource management – a case study from southern Zimbabwe	51
3.2	Kumbhan water trough case study, Gujarat, India	53
3.3	Participatory management of Kapuwai's wetlands in Uganda	54
3.4	Community approaches to control of bacterial wilt, Nepal	57
3.5	Soil and water research in Malawi	59
4.1	Farmers testing rules of thumb in integrated pest management	80
4.2	Farmers and researchers partnering in Malawi through mother–baby trials	82
5.1	Added value and dimensions of participatory approaches to INRM research	89
5.2	Organizational learning stages	95
5.3	Five stages of competence development	100
6.1	Case study: Landcare in the Philippines	121
6.2	Case study: participatory mapping, analysis and monitoring in the Calico River watershed, Matagalpa Province, Nicaragua (CIAT 'Hillsides' project)	123
6.3	Case study: improving farmers' risk management strategies, for resource-poor and drought-prone farming systems in southern Africa (CIMMYT Southern Africa Risk Management Project)	126
6.4	Case study: participatory action research on adaptive and collaborative management of community forests – a multi-country model (CIFOR)	129
6.5	Case study: the Farmer Research Committee (CIAL) as a community-based NRM organization	132
7.1	The Chatham workshop and its coverage	144
7.2	Changing concerns and changing pressures	146
7.3	The struggle to share knowledge and focus on farmers' ideas	149
7.4	Communicating with resource users; communication between resource users	150
7.5	Participatory research to improve inclusion in debates and access to resources	152
7.6	Landscapes need exploring as social as well as biophysical mosaics	154
7.7	The struggle for participatory approaches in irrigation system management reform	158
7.8	Stakeholders: interfaces and organizations	161
7.9	Rethinking research design: new knowledge partnerships, new methods	162
8.1	Participatory research approaches are social technologies	171
8.2	Working across the spectrum	174
8.3	Collaboration between indigenous and formal agricultural experimentation	176
8.4	Scenarios, beyond prediction, for negotiation	181