


CONTENTS

1	BASIC CONCEPTS OF DIGITAL DATA TRANSMISSION	1
1-1	Introduction	1
1-2	Glossary of Terms	3
1-3	Further Consideration of Digital Communication System Design	5
1-3.1	General Considerations	5
1-3.2	Error-Free Capacity of a Communication System	7
1-3.3	The Source in a Digital Communication System	9
1-3.4	The Transmitter in a Digital Communication System	15
1-3.5	The Channel	23
1-3.6	The Receiver	36
1-4	Prologue	37
	References	39
	Problems	39
	SIGNALS AND SYSTEMS: OVERVIEW	43
2-1	Review of Signal and Linear System Theory	43
2-1.1	Introduction	43
2-1.2	Classification of Signals	43

2-1.3	Fundamental Properties of Systems	45	
2-1.4	Complex Exponentials as Eigenfunctions for a Fixed, Linear System; Transfer Function	47	
2-1.5	Orthogonal Function Series	48	
2-1.6	Complex Exponential Fourier Series	50	
2-1.7	Fourier Transform	53	
2-1.8	Signal Spectra	57	
2-1.9	Energy Relationships	58	
2-1.10	System Analysis	61	
2-1.11	Other Applications of the Fourier Transform	64	
2-2	Complex Envelope Representation of Signals and Systems		67
2-2.1	Narrowband Signals	67	
2-2.2	Narrowband Signals and Narrowband Systems	69	
2-3	Signal Distortion and Filtering		72
2-3.1	Distortionless Transmission and Ideal Filters	73	
2-3.2	Group and Phase Delay	73	
2-3.3	Nonlinear Systems and Nonlinear Distortion	81	
2-4	Practical Filter Types and Characteristics		86
	References		100
	Problems		101

PERFORMANCE CHARACTERIZATION OF DIGITAL DATA TRANSMISSION SYSTEMS **105**

3-1	Introduction		105
3-2	Detection of Binary Signals in White, Gaussian Noise		106
3-2.1	Receiver Structure and Analysis	106	
3-2.2	The Matched Filter	109	
3-2.3	Application of the Matched Filter to Binary Data Detection	112	
3-2.4	Correlator Realization of Matched Filter Receivers	115	
3-3	Quadrature-Multiplexed Signaling Schemes: QPSK, OQPSK, and MSK		117
3-3.1	Quadrature Multiplexing	117	
3-3.2	Quadrature and Offset-Quadrature Phase-Shift Keying	118	
3-3.3	Minimum-Shift Keying	120	
3-3.4	Performance of Digital Quadrature Modulation Systems	120	
3-4	Power Spectra for BPSK, QPSK, OQPSK, and MSK		124
3-5	Serial Modulation and Detection of MSK		128
3-5.1	Serial Approach	129	
3-5.2	Terminology and Trellis Diagrams	130	
3-6	Signaling Through Bandlimited Channels		133
3-6.1	System Model	133	
3-6.2	Designing for Zero ISI: Nyquist's Pulse-Shaping Criterion	135	
3-6.3	Optimum Transmitting and Receiving Filters	136	

3-6.4	Quadrature Bandpass Systems and Multiple Amplitude Systems	141	
3-6.5	Shaped Transmitted Signal Spectra	142	
3-6.6	Duobinary Signaling	143	
3-7	The Use of Eye Diagrams for System Characterization		146
3-8	Equalization in Digital Data Transmission Systems		147
3-8.1	Zero Forcing Equalizers	147	
3-8.2	LMS Equalizer Application	151	
3-8.3	Adaptive Weight Adjustment	155	
3-8.4	Other Equalizer Structures	157	
3-9	Degradations due to Realization Imperfections in Digital Modulation Systems		158
3-9.1	Phase and Amplitude Imbalance in BPSK	159	
3-9.2	Phase and Amplitude Unbalance in QPSK Modulation	160	
3-9.3	Power Loss due to Filtering the Modulated Signal	162	
3-9.4	Imperfect Phase Reference at a Coherent Demodulator	162	
3-9.5	Degradation due to a Nonideal Detection Filter	166	
3-9.6	Degradation due to Predetection Filtering	169	
3-9.7	Degradation due to Transmitter, or Channel Filtering; Non-Matched Detector	170	
3-9.8	Degradation due to Bit Synchronizer Timing Error	171	
3-10	Modulator Structures for QPSK, OQPSK, and MSK		174
3-11	Envelope Functions for BPSK, QPSK, OQPSK, and MSK		177
	References		179
	Problems		180

4 SIGNAL-SPACE METHODS IN DIGITAL DATA TRANSMISSION **184**

4-1	Introduction		184
4-2	Optimum Receiver Principles in Terms of Vector Spaces		186
4-2.1	Maximum a Posteriori Detectors	186	
4-2.2	Vector-Space Representation of Signals	188	
4-2.3	MAP Detectors in Terms of Signal Spaces	192	
4-2.4	Performance Calculations for MAP Receivers	195	
4-3	Performance Analysis of Coherent Digital Signaling Schemes		198
4-3.1	Coherent Binary Systems	198	
4-3.2	Coherent M -ary Orthogonal Signaling Schemes	200	
4-3.3	M -ary Phase-Shift Keying	204	
4-3.4	Multi-amplitude/Phase-Shift Keyed Systems	207	
4-3.5	Bandwidth Efficiency of M -ary Digital Communication Systems	211	
4-4	Signaling Schemes Not Requiring Coherent References at the Receiver		213
4-4.1	NFSK	213	
4-4.2	DPSK	215	

4-5	Efficient Signaling for Message Sequences	222
4-5.1	Summary of Block-Orthogonal and M -ary Signaling Performance	222
4-5.2	Channel Coding Theorem	224
4-6	Multi-h Continuous Phase Modulation	228
4-6.1	Description of the Multi- h CPM Signal Format	229
4-6.2	Performance Bounds [12]	233
4-6.3	Calculation of Power Spectra for Multi- h CPM Signals	236
4-6.4	Synchronization Considerations for Multi- h CPM Signals	243
4-6.5	Application of the Viterbi Algorithm to Detection of Multi- h CPM Signals	246
	References	250
	Problems	251
GENERATION OF COHERENT REFERENCES		254
5-1	Introduction	254
5-2	Description of Phase Noise and its Properties	255
5-2.1	General Considerations	255
5-2.2	Phase and Frequency Noise Power Spectra	255
5-2.3	Allan Variance	259
5-2.4	Effect of Frequency Multipliers and Dividers on Phase-Noise Spectra	260
5-3	Phase-Lock Loop Models and Characteristics of Operation	261
5-3.1	Synchronized Mode: Linear Operation	261
5-3.2	Effects of Noise	266
5-3.3	Phase-Locked-Loop Tracking of Oscillators with Phase Noise	270
5-3.4	Phase Jitter Plus Noise Effects	271
5-3.5	Transient Response	272
5-3.6	Phase-Locked-Loop Acquisition	275
5-3.7	Other Configurations	278
5-3.8	Effects of Transport Delay	281
5-4	Frequency Synthesis	281
5-4.1	Digital Synthesizers	281
5-4.2	Direct Synthesis	283
5-4.3	Phase-Locked Frequency Synthesizers	287
	References	289
	Problems	290
SYNCHRONIZATION OF DIGITAL COMMUNICATION SYSTEMS		293
6-1	The General Problem of Synchronization	293
6-2	Application of the MAP and ML Principles to Estimation of Signal Parameters	296
6-2.1	Preliminary Definitions and Relationships	296
6-2.2	Expressions for Estimation of Continuous Waveform Parameters	298

6-2.3	Generalization of the Estimator Equations to Multiple Symbol Intervals and Multiple Parameters	302
6-2.4	Data-Aided Versus Non-Data-Aided Synchronization	309
6-2.5	Joint Estimation of Parameters	309
6-2.6	Open-Loop Versus Closed-Loop Structures	311
6-2.7	Practical Timing Epoch Estimators	312
6-3	Synchronization Methods Based on Properties of Wide-Sense Cyclostationary Random Processes	314
6-3.1	Carrier Recovery Circuits	315
6-3.2	Delay and Multiply Circuits for Symbol Clock Estimation	319
	References	325
	Problems	325

7 INTRODUCTION TO SPREAD SPECTRUM SYSTEMS 327

7-1	Introduction	327
7-2	Two Communications Problems	328
7-2.1	Pulse-Noise Jamming	328
7-2.2	Low Probability of Detection	330
7-3	Direct-Sequence Spread Spectrum	332
7-3.1	BPSK Direct-Sequence Spread Spectrum	332
7-3.2	QPSK Direct-Sequence Spread Spectrum	340
7-3.3	MSK Direct-Sequence Spread Spectrum	344
7-4	Frequency-Hop Spread Spectrum	348
7-4.1	Coherent Slow-Frequency-Hop Spread Spectrum	348
7-4.2	Noncoherent Slow-Frequency-Hop Spread Spectrum	352
7-4.3	Noncoherent Fast-Frequency-Hop Spread Spectrum	354
7-5	Hybrid Direct-Sequence/Frequency-Hop Spread Spectrum	355
7-6	Complex-Envelope Representation of Spread-Spectrum Systems	357
	References	361
	Problems	361

8 BINARY SHIFT REGISTER SEQUENCES FOR SPREAD-SPECTRUM SYSTEMS 365

8-1	Introduction	365
8-2	Definitions, Mathematical Background, and Sequence Generator Fundamentals	366
8-2.1	Definitions	366
8-2.2	Finite-Field Arithmetic	368
8-2.3	Sequence Generator Fundamentals	375
8-3	Maximal-Length Sequences	385
8-3.1	Properties of m -Sequences	385
8-3.2	Power Spectrum of m -Sequences	387
8-3.3	Tables of Polynomials Yielding m -Sequences	388

8-3.4	Partial Autocorrelation Properties of m -Sequences	392
8-3.5	Power Spectrum of $c(t)c(t + \epsilon)$	396
8-3.6	Generation of Specific Delays of m -Sequences	396
8-4	Gold Codes	404
8-5	Rapid Acquisition Sequences	407
8-6	Nonlinear Code Generators	411
	References	415
	Problems	416

CODE TRACKING LOOPS 419

9-1	Introduction	419
9-2	Optimum Tracking of Wideband Signals	420
9-3	Baseband Full-Time Early-Late Tracking Loop	423
9-4	Full-Time Early-Late Noncoherent Tracking Loop	433
9-5	Tau-Dither Early-Late Noncoherent Tracking Loop	447
9-6	Double-Dither Early-Late Noncoherent Tracking Loop	456
9-7	Full-Time Early-Late Noncoherent Tracking Loop with Arbitrary Data and Spreading Modulation	459
9-8	Code Tracking Loops for Frequency-Hop Systems	467
9-9	Summary	478
	References	480
	Problems	480

10 INITIAL SYNCHRONIZATION OF THE RECEIVER SPREADING CODE 484

10-1	Introduction	484
10-2	Problem Definition and the Optimum Synchronizer	486
10-3	Serial Search Synchronization Techniques	488
10-3.1	Calculation of the Mean and Variance of the Synchronization Time	488
10-3.2	Modified Sweep Strategies	492
10-3.3	Continuous Linear Sweep of Uncertainty Region	494
10-3.4	Detection of a Signal in Additive White Gaussian Noise (Fixed Integration Time, Multiple Dwell, and Sequential Detectors)	501
10-4	Synchronization Using a Matched Filter	538
10-5	Synchronization by Estimating the Received Spreading Code	540
10-6	Tracking Loop Pull-In	543
10-7	Summary	547
	References	550
	Problems	551

11 PERFORMANCE OF SPREAD-SPECTRUM SYSTEMS IN A JAMMING ENVIRONMENT 555

11-1	Introduction	555
11-2	Spread-Spectrum Communication System Model	556

11-3	Performance of Spread-Spectrum Systems Without Coding	561
11-3.1	Performance in AWGN or Barrage Noise	
	Jamming	562
11-3.2	Performance in Partial Band Jamming	570
11-3.3	Performance in Pulsed Noise Jamming	582
11-3.4	Performance in Single-Tone Jamming	586
11-3.5	Performance in Multiple-Tone Jamming	597
11-3.6	Conclusions	602
	References	602
	Problems	604

12 PERFORMANCE OF SPREAD-SPECTRUM SYSTEMS WITH FORWARD ERROR CORRECTION **606**

12-1	Introduction	606
12-2	Elementary Block Coding Concepts	607
12-2.1	Optimum Decoding Rule	609
12-2.2	Calculation of Error Probability	612
12-3	Elementary Convolutional Coding Concepts	616
12-3.1	Decoding of Convolutional Codes	618
12-3.2	Error Probability for Convolutional Codes	620
12-4	Results for Specific Error Correction Codes	620
12-4.1	BCH Codes	621
12-4.2	Reed–Solomon Codes	622
12-4.3	Maximum Free-Distance Convolutional Codes	624
12-4.4	Repeat Coding for the Hard Decision FH/MFSK Channel	624
12-5	Interleaving	630
12-6	Random Coding Bounds	632
	References	633
	Problems	634

13 EXAMPLE SPREAD-SPECTRUM SYSTEMS **635**

13-1	Introduction	635
13-2	Space Shuttle Spectrum Depsreader	636
13-3	TDRSS User Transponder	640
13-4	Global Positioning System	644
13-5	Joint Tactical Information Distribution System	647
	References	649

APPENDICES

A PROBABILITY AND RANDOM VARIABLES **650**

A-1	Probability Theory	650
A-2	Random Variables, Probability Density Functions, and Averages	654
A-3	Characteristic Function and Probability Generating Functions	658

A-4	Transformations of Random Variables	653
A-5	Central Limit Theorem	667
A-6	Random Processes	668
A-7	Input/Output Relationships for Fixed Linear Systems with Random Inputs; Power Spectral Density	674
A-8	Examples of Random Processes	681
A-9	Narrowband Noise Representation	685
	References	687
	Problems	687
B	CHARACTERIZATION OF INTERNALLY GENERATED NOISE	691
C	COMMUNICATION LINK PERFORMANCE CALCULATIONS	697
D	OVERVIEW OF THE VITERBI ALGORITHM	704
E	GAUSSIAN PROBABILITY FUNCTION	713
F	POWER SPECTRAL DENSITIES FOR SEQUENCES OF RANDOM BINARY DIGITS AND RANDOM TONES	716
G	CALCULATION OF THE POWER SPECTRUM OF THE PRODUCT OF TWO <i>M</i>-SEQUENCES	720
H	EVALUATION OF PHASE DISCRIMINATOR OUTPUT AUTOCORRELATION FUNCTIONS AND POWER SPECTRA	728
	INDEX	740