

Contents

Chapter 1 Introduction

1.1 Database-System Applications	1
1.2 Purpose of Database Systems	3
1.3 View of Data	6
1.4 Database Languages	9
1.5 Relational Databases	12
1.6 Database Design	15
1.7 Data Storage and Querying	20
1.8 Transaction Management	22
1.9 Database Architecture	23
1.10 Data Mining and Information Retrieval	25
1.11 Specialty Databases	26
1.12 Database Users and Administrators	27
1.13 History of Database Systems	29
1.14 Summary	31
Exercises	33
Bibliographical Notes	35

PART ONE ■ RELATIONAL DATABASES

Chapter 2 Introduction to the Relational Model

2.1 Structure of Relational Databases	39
2.2 Database Schema	42
2.3 Keys	45
2.4 Schema Diagrams	46
2.5 Relational Query Languages	47
2.6 Relational Operations	48
2.7 Summary	52
Exercises	53
Bibliographical Notes	55

Chapter 3 Introduction to SQL

3.1 Overview of the SQL Query Language	57
3.2 SQL Data Definition	58
3.3 Basic Structure of SQL Queries	63
3.4 Additional Basic Operations	74
3.5 Set Operations	79
3.6 Null Values	83
3.7 Aggregate Functions	84
3.8 Nested Subqueries	90
3.9 Modification of the Database	98
3.10 Summary	104
Exercises	105
Bibliographical Notes	112

Chapter 4 Intermediate SQL

4.1 Join Expressions	113
4.2 Views	120
4.3 Transactions	127
4.4 Integrity Constraints	128
4.5 SQL Data Types and Schemas	136

4.6 Authorization	143
4.7 Summary	150
Exercises	152
Bibliographical Notes	156

Chapter 5 Advanced SQL

5.1 Accessing SQL From a Programming Language	157
5.2 Functions and Procedures	173
5.3 Triggers	180
5.4 Recursive Queries**	187

5.5 Advanced Aggregation Features**	192
5.6 OLAP**	197
5.7 Summary	209
Exercises	211
Bibliographical Notes	216

Chapter 6 Formal Relational Query Languages

6.1 The Relational Algebra	217
6.2 The Tuple Relational Calculus	239
6.3 The Domain Relational Calculus	245

6.4 Summary	248
Exercises	249
Bibliographical Notes	254

PART TWO ■ DATABASE DESIGN

Chapter 7 Database Design and the E-R Model

7.1 Overview of the Design Process	259
7.2 The Entity-Relationship Model	262
7.3 Constraints	269
7.4 Removing Redundant Attributes in Entity Sets	272
7.5 Entity-Relationship Diagrams	274
7.6 Reduction to Relational Schemas	283
7.7 Entity-Relationship Design Issues	290

7.8 Extended E-R Features	295
7.9 Alternative Notations for Modeling Data	304
7.10 Other Aspects of Database Design	310
7.11 Summary	313
Exercises	315
Bibliographical Notes	321

Chapter 8 Relational Database Design

8.1 Features of Good Relational Designs	323	8.6 Decomposition Using Multivalued Dependencies	355
8.2 Atomic Domains and First Normal Form	327	8.7 More Normal Forms	360
8.3 Decomposition Using Functional Dependencies	329	8.8 Database-Design Process	361
8.4 Functional-Dependency Theory	338	8.9 Modeling Temporal Data	364
8.5 Algorithms for Decomposition	348	8.10 Summary	367
		Exercises	368
		Bibliographical Notes	374

Chapter 9 Application Design and Development

9.1 Application Programs and User Interfaces	375	9.6 Application Performance	400
9.2 Web Fundamentals	377	9.7 Application Security	402
9.3 Servlets and JSP	383	9.8 Encryption and Its Applications	411
9.4 Application Architectures	391	9.9 Summary	417
9.5 Rapid Application Development	396	Exercises	419
		Bibliographical Notes	426

PART THREE ■ DATA STORAGE AND QUERYING

Chapter 10 Storage and File Structure

10.1 Overview of Physical Storage Media	429	10.6 Organization of Records in Files	457
10.2 Magnetic Disk and Flash Storage	432	10.7 Data-Dictionary Storage	462
10.3 RAID	441	10.8 Database Buffer	464
10.4 Tertiary Storage	449	10.9 Summary	468
10.5 File Organization	451	Exercises	470
		Bibliographical Notes	473

Chapter 11 Indexing and Hashing

11.1 Basic Concepts	475	11.8 Comparison of Ordered Indexing and Hashing	523
11.2 Ordered Indices	476	11.9 Bitmap Indices	524
11.3 B ⁺ -Tree Index Files	485	11.10 Index Definition in SQL	528
11.4 B ⁺ -Tree Extensions	500	11.11 Summary	529
11.5 Multiple-Key Access	506	Exercises	532
11.6 Static Hashing	509	Bibliographical Notes	536
11.7 Dynamic Hashing	515		

Chapter 12 Query Processing

12.1 Overview	537	12.6 Other Operations	563
12.2 Measures of Query Cost	540	12.7 Evaluation of Expressions	567
12.3 Selection Operation	541	12.8 Summary	572
12.4 Sorting	546	Exercises	574
12.5 Join Operation	549	Bibliographical Notes	577

Chapter 13 Query Optimization

13.1 Overview	579	13.5 Materialized Views**	607
13.2 Transformation of Relational Expressions	582	13.6 Advanced Topics in Query Optimization**	612
13.3 Estimating Statistics of Expression Results	590	13.7 Summary	615
13.4 Choice of Evaluation Plans	598	Exercises	617
		Bibliographical Notes	622

PART FOUR ■ TRANSACTION MANAGEMENT

Chapter 14 Transactions

14.1 Transaction Concept	627	14.7 Transaction Isolation and Atomicity	646
14.2 A Simple Transaction Model	629	14.8 Transaction Isolation Levels	648
14.3 Storage Structure	632	14.9 Implementation of Isolation Levels	650
14.4 Transaction Atomicity and Durability	633	14.10 Transactions as SQL Statements	653
14.5 Transaction Isolation	635	14.11 Summary	655
14.6 Serializability	641	Exercises	657
		Bibliographical Notes	660

Chapter 15 Concurrency Control

15.1 Lock-Based Protocols	661	15.8 Insert Operations, Delete Operations, and Predicate Reads	697
15.2 Deadlock Handling	674	15.9 Weak Levels of Consistency in Practice	701
15.3 Multiple Granularity	679	15.10 Concurrency in Index Structures**	704
15.4 Timestamp-Based Protocols	682	15.11 Summary	708
15.5 Validation-Based Protocols	686	Exercises	712
15.6 Multiversion Schemes	689	Bibliographical Notes	718
15.7 Snapshot Isolation	692		

Chapter 16 Recovery System

16.1 Failure Classification	721	16.7 Early Lock Release and Logical Undo Operations	744
16.2 Storage	722	16.8 ARIES**	750
16.3 Recovery and Atomicity	726	16.9 Remote Backup Systems	756
16.4 Recovery Algorithm	735	16.10 Summary	759
16.5 Buffer Management	738	Exercises	762
16.6 Failure with Loss of Nonvolatile Storage	743	Bibliographical Notes	766

PART FIVE ■ SYSTEM ARCHITECTURE

Chapter 17 Database-System Architectures

17.1 Centralized and Client–Server Architectures	769	17.5 Network Types	788
17.2 Server System Architectures	772	17.6 Summary	791
17.3 Parallel Systems	777	Exercises	793
17.4 Distributed Systems	784	Bibliographical Notes	794

Chapter 18 Parallel Databases

18.1 Introduction	797	18.8 Design of Parallel Systems	815
18.2 I/O Parallelism	798	18.9 Parallelism on Multicore Processors	817
18.3 Interquery Parallelism	802	18.10 Summary	819
18.4 Intraquery Parallelism	803	Exercises	821
18.5 Intraoperation Parallelism	804	Bibliographical Notes	824
18.6 Interoperation Parallelism	813		
18.7 Query Optimization	814		

Chapter 19 Distributed Databases

19.1 Homogeneous and Heterogeneous Databases	825	19.7 Distributed Query Processing	854
19.2 Distributed Data Storage	826	19.8 Heterogeneous Distributed Databases	857
19.3 Distributed Transactions	830	19.9 Cloud-Based Databases	861
19.4 Commit Protocols	832	19.10 Directory Systems	870
19.5 Concurrency Control in Distributed Databases	839	19.11 Summary	875
19.6 Availability	847	Exercises	879
		Bibliographical Notes	883

PART SIX ■ DATA WAREHOUSING, DATA MINING, AND INFORMATION RETRIEVAL

Chapter 20 Data Warehousing and Mining

20.1 Decision-Support Systems	887	20.7 Clustering	907
20.2 Data Warehousing	889	20.8 Other Forms of Data Mining	908
20.3 Data Mining	893	20.9 Summary	909
20.4 Classification	894	Exercises	911
20.5 Association Rules	904	Bibliographical Notes	914
20.6 Other Types of Associations	906		

Chapter 21 Information Retrieval

21.1 Overview	915	21.7 Crawling and Indexing the Web	930
21.2 Relevance Ranking Using Terms	917	21.8 Information Retrieval: Beyond Ranking of Pages	931
21.3 Relevance Using Hyperlinks	920	21.9 Directories and Categories	935
21.4 Synonyms, Homonyms, and Ontologies	925	21.10 Summary	937
21.5 Indexing of Documents	927	Exercises	939
21.6 Measuring Retrieval Effectiveness	929	Bibliographical Notes	941

PART SEVEN ■ SPECIALTY DATABASES

Chapter 22 Object-Based Databases

22.1 Overview	945	22.8 Persistent Programming Languages	964
22.2 Complex Data Types	946	22.9 Object-Relational Mapping	973
22.3 Structured Types and Inheritance in SQL	949	22.10 Object-Oriented versus Object-Relational	973
22.4 Table Inheritance	954	22.11 Summary	975
22.5 Array and Multiset Types in SQL	956	Exercises	976
22.6 Object-Identity and Reference Types in SQL	961	Bibliographical Notes	980
22.7 Implementing O-R Features	963		

Chapter 23 XML

23.1 Motivation	981	23.6 Storage of XML Data	1009
23.2 Structure of XML Data	986	23.7 XML Applications	1016
23.3 XML Document Schema	990	23.8 Summary	1019
23.4 Querying and Transformation	998	Exercises	1021
23.5 Application Program Interfaces to XML	1008	Bibliographical Notes	1024

PART EIGHT ■ ADVANCED TOPICS

Chapter 24 Advanced Application Development

24.1 Performance Tuning	1029	24.4 Standardization	1051
24.2 Performance Benchmarks	1045	24.5 Summary	1056
24.3 Other Issues in Application Development	1048	Exercises	1057
		Bibliographical Notes	1059

Chapter 25 Spatial and Temporal Data and Mobility

25.1 Motivation	1061	25.5 Mobility and Personal Databases	1079
25.2 Time in Databases	1062	25.6 Summary	1085
25.3 Spatial and Geographic Data	1064	Exercises	1087
25.4 Multimedia Databases	1076	Bibliographical Notes	1089

Chapter 26 Advanced Transaction Processing

26.1 Transaction-Processing Monitors	1091	26.6 Long-Duration Transactions	1109
26.2 Transactional Workflows	1096	26.7 Summary	1115
26.3 E-Commerce	1102	Exercises	1117
26.4 Main-Memory Databases	1105	Bibliographical Notes	1119
26.5 Real-Time Transaction Systems	1108		

PART NINE ■ CASE STUDIES

Chapter 27 PostgreSQL

27.1 Introduction	1123	27.5 Storage and Indexing	1146
27.2 User Interfaces	1124	27.6 Query Processing and Optimization	1151
27.3 SQL Variations and Extensions	1126	27.7 System Architecture	1154
27.4 Transaction Management in PostgreSQL	1137	Bibliographical Notes	1155

Chapter 28 Oracle

28.1 Database Design and Querying Tools	1157	28.6 System Architecture	1183
28.2 SQL Variations and Extensions	1158	28.7 Replication, Distribution, and External Data	1188
28.3 Storage and Indexing	1162	28.8 Database Administration Tools	1189
28.4 Query Processing and Optimization	1172	28.9 Data Mining	1191
28.5 Concurrency Control and Recovery	1180	Bibliographical Notes	1191

Chapter 29 IBM DB2 Universal Database

29.1 Overview	1193	29.9 Tools and Utilities	1215
29.2 Database-Design Tools	1194	29.10 Concurrency Control and Recovery	1217
29.3 SQL Variations and Extensions	1195	29.11 System Architecture	1219
29.4 Storage and Indexing	1200	29.12 Replication, Distribution, and External Data	1220
29.5 Multidimensional Clustering	1203	29.13 Business Intelligence Features	1221
29.6 Query Processing and Optimization	1207	Bibliographical Notes	1222
29.7 Materialized Query Tables	1212		
29.8 Autonomic Features in DB2	1214		

Chapter 30 Microsoft SQL Server

30.1 Management, Design, and Querying Tools	1223	30.8 Distributed Heterogeneous Query Processing	1250
30.2 SQL Variations and Extensions	1228	30.9 Replication	1251
30.3 Storage and Indexing	1233	30.10 Server Programming in .NET	1253
30.4 Query Processing and Optimization	1236	30.11 XML Support	1258
30.5 Concurrency and Recovery	1241	30.12 SQL Server Service Broker	1261
30.6 System Architecture	1246	30.13 Business Intelligence	1263
30.7 Data Access	1248	Bibliographical Notes	1267

PART TEN ■ APPENDICES

Appendix A Detailed University Schema

A.1 Full Schema	1271	A.3 Sample Data	1276
A.2 DDL	1272		

Appendix B Advanced Relational Design (contents online)

B.1 Multivalued Dependencies	B1	Exercises	B10
B.3 Domain-Key Normal Form	B8	Bibliographical Notes	B12
B.4 Summary	B10		

Appendix C Other Relational Query Languages (contents online)

C.1 Query-by-Example	C1	C.4 Summary	C25
C.2 Microsoft Access	C9	Exercises	C26
C.3 Datalog	C11	Bibliographical Notes	C30

Appendix D Network Model (contents online)

D.1 Basic Concepts	D1	D.6 DBTG Set-Processing Facility	D22
D.2 Data-Structure Diagrams	D2	D.7 Mapping of Networks to Files	D27
D.3 The DBTG CODASYL Model	D7	D.8 Summary	D31
D.4 DBTG Data-Retrieval Facility	D13	Exercises	D32
D.5 DBTG Update Facility	D20	Bibliographical Notes	D35

Appendix E Hierarchical Model (contents online)

E.1 Basic Concepts	E1	E.6 Mapping of Hierarchies to Files	E22
E.2 Tree-Structure Diagrams	E2	E.7 The IMS Database System	E24
E.3 Data-Retrieval Facility	E13	E.8 Summary	E25
E.4 Update Facility	E17	Exercises	E26
E.5 Virtual Records	E20	Bibliographical Notes	E29

Bibliography 1283

Index 1315