

## CONTENTS

PREFACE .....	xiii
CHAPTER I. INTRODUCTION .....	1
CHAPTER II. THE PREDICATE CALCULUS AND THE SYSTEM <i>LK</i> .....	9
II.1. Propositional Calculus .....	9
II.1.1. Gentzen's Propositional Proof System <i>PK</i> .....	10
II.1.2. Soundness and Completeness of <i>PK</i> .....	12
II.1.3. <i>PK</i> Proofs from Assumptions .....	13
II.1.4. Propositional Compactness .....	16
II.2. Predicate Calculus .....	17
II.2.1. Syntax of the Predicate Calculus .....	17
II.2.2. Semantics of Predicate Calculus .....	19
II.2.3. The First-Order Proof System <i>LK</i> .....	21
II.2.4. Free Variable Normal Form .....	23
II.2.5. Completeness of <i>LK</i> without Equality .....	24
II.3. Equality Axioms .....	31
II.3.1. Equality Axioms for <i>LK</i> .....	32
II.3.2. Revised Soundness and Completeness of <i>LK</i> .....	33
II.4. Major Corollaries of Completeness .....	34
II.5. The Herbrand Theorem .....	35
II.6. Notes .....	38
CHAPTER III. PEANO ARITHMETIC AND ITS SUBSYSTEMS .....	39
III.1. Peano Arithmetic .....	39
III.1.1. Minimization .....	44
III.1.2. Bounded Induction Scheme .....	44
III.1.3. Strong Induction Scheme .....	44
III.2. Parikh's Theorem .....	44
III.3. Conservative Extensions of <i>IΔ</i> <sub>0</sub> .....	49
III.3.1. Introducing New Function and Predicate Symbols .....	50
III.3.2. <i>IΔ</i> <sub>0</sub> : A Universal Conservative Extension of <i>IΔ</i> <sub>0</sub> .....	54
III.3.3. Defining $y = 2^x$ and <i>BIT</i> ( <i>i</i> , <i>x</i> ) in <i>IΔ</i> <sub>0</sub> .....	59
III.4. <i>IΔ</i> <sub>0</sub> and the Linear Time Hierarchy .....	65

III.4.1.	The Polynomial and Linear Time Hierarchies .....	65
III.4.2.	Representability of <i>LTH</i> Relations .....	66
III.4.3.	Characterizing the <i>LTH</i> by $I\Delta_0$ .....	69
III.5.	Buss's $S_2^i$ Hierarchy: The Road Not Taken.....	70
III.6.	Notes.....	71
<b>CHAPTER IV. TWO-SORTED LOGIC AND COMPLEXITY CLASSES.....</b>		<b>73</b>
IV.1.	Basic Descriptive Complexity Theory .....	74
IV.2.	Two-Sorted First-Order Logic .....	76
IV.2.1.	Syntax.....	76
IV.2.2.	Semantics.....	78
IV.3.	Two-Sorted Complexity Classes.....	80
IV.3.1.	Notation for Numbers and Finite Sets.....	80
IV.3.2.	Representation Theorems.....	81
IV.3.3.	The <i>LTH</i> Revisited.....	86
IV.4.	The Proof System $LK^2$ .....	87
IV.4.1.	Two-Sorted Free Variable Normal Form .....	90
IV.5.	Single-Sorted Logic Interpretation .....	91
IV.6.	Notes.....	93
<b>CHAPTER V. THE THEORY <math>V^0</math> AND <math>AC^0</math> .....</b>		<b>95</b>
V.1.	Definition and Basic Properties of $V^i$ .....	95
V.2.	Two-Sorted Functions.....	101
V.3.	Parikh's Theorem for Two-Sorted Logic .....	104
V.4.	Definability in $V^0$ .....	106
V.4.1.	$\Delta_1^i$ -Definable Predicates.....	115
V.5.	The Witnessing Theorem for $V^0$ .....	117
V.5.1.	Independence Follows from the Witnessing Theorem for $V^0$ .....	118
V.5.2.	Proof of the Witnessing Theorem for $V^0$ .....	119
V.6.	$\bar{V}^0$ : Universal Conservative Extension of $V^0$ .....	124
V.6.1.	Alternative Proof of the Witnessing Theorem for $V^0$ .....	127
V.7.	Finite Axiomatizability .....	129
V.8.	Notes.....	130
<b>CHAPTER VI. THE THEORY <math>V^1</math> AND POLYNOMIAL TIME.....</b>		<b>133</b>
VI.1.	Induction Schemes in $V^i$ .....	133
VI.2.	Characterizing $P$ by $V^1$ .....	135
VI.2.1.	The "If" Direction of Theorem VI.2.2.....	137
VI.2.2.	Application of Cobham's Theorem .....	140
VI.3.	The Replacement Axiom Scheme .....	142
VI.3.1.	Extending $V^1$ by Polytime Functions.....	145
VI.4.	The Witnessing Theorem for $V^1$ .....	147
VI.4.1.	The Sequent System $LK^2$ - $\tilde{V}^1$ .....	150

VI.4.2.	Proof of the Witnessing Theorem for $V^1$ .....	154
VI.5.	Notes.....	156
<b>CHAPTER VII. PROPOSITIONAL TRANSLATIONS.....</b>		<b>159</b>
VII.1.	Propositional Proof Systems .....	160
VII.1.1.	Treelike vs Daglike Proof Systems.....	162
VII.1.2.	The Pigeonhole Principle and Bounded Depth $PK$ .....	163
VII.2.	Translating $V^0$ to $bPK$ .....	165
VII.2.1.	Translating $\Sigma_0^B$ Formulas .....	166
VII.2.2.	$\tilde{V}^0$ and $LK^2\text{-}\tilde{V}^0$ .....	169
VII.2.3.	Proof of the Translation Theorem for $V^0$ .....	170
VII.3.	Quantified Propositional Calculus .....	173
VII.3.1.	QPC Proof Systems .....	175
VII.3.2.	The System $G$ .....	175
VII.4.	The Systems $G_i$ and $G_i^*$ .....	179
VII.4.1.	Extended Frege Systems and Witnessing in $G_1^*$ .....	186
VII.5.	Propositional Translations for $V^i$ .....	191
VII.5.1.	Translating $V^0$ to Bounded Depth $G_0^*$ .....	195
VII.6.	Notes.....	198
<b>CHAPTER VIII. THEORIES FOR POLYNOMIAL TIME AND BEYOND.....</b>		<b>201</b>
VIII.1.	The Theory $VP$ and Aggregate Functions .....	201
VIII.1.1.	The Theory $\widehat{VP}$ .....	207
VIII.2.	The Theory $VPV$ .....	210
VIII.2.1.	Comparing $VPV$ and $V^1$ .....	213
VIII.2.2.	$VPV$ Is Conservative over $VP$ .....	214
VIII.3.	$TV^0$ and the $TV^i$ Hierarchy .....	217
VIII.3.1.	$TV^0 \subseteq VPV$ .....	220
VIII.3.2.	Bit Recursion .....	222
VIII.4.	The Theory $V^1\text{-HORN}$ .....	223
VIII.5.	$TV^1$ and Polynomial Local Search .....	228
VIII.6.	KPT Witnessing and Replacement .....	237
VIII.6.1.	Applying KPT Witnessing .....	239
VIII.7.	More on $V^i$ and $TV^i$ .....	243
VIII.7.1.	Finite Axiomatizability .....	243
VIII.7.2.	Definability in the $V^\infty$ Hierarchy .....	245
VIII.7.3.	Collapse of $V^\infty$ vs Collapse of $PH$ .....	253
VIII.8.	RSUV Isomorphism .....	256
VIII.8.1.	The Theories $S_2^i$ and $T_2^i$ .....	256
VIII.8.2.	RSUV Isomorphism .....	258
VIII.8.3.	The $^\#$ Translation.....	260
VIII.8.4.	The $^b$ Translation.....	262
VIII.8.5.	The RSUV Isomorphism between $S_2^i$ and $V^i$ .....	263
VIII.9.	Notes.....	266

## CONTENTS

<b>CHAPTER IX. THEORIES FOR SMALL CLASSES . . . . .</b>	<b>267</b>
IX.1. $AC^0$ Reductions . . . . .	269
IX.2. Theories for Subclasses of $P$ . . . . .	272
IX.2.1. The Theories $VC$ . . . . .	273
IX.2.2. The Theory $\widehat{VC}$ . . . . .	274
IX.2.3. The Theory $\overline{VC}$ . . . . .	278
IX.2.4. Obtaining Theories for the Classes of Interest . . . . .	280
IX.3. Theories for $TC^0$ . . . . .	281
IX.3.1. The Class $TC^0$ . . . . .	282
IX.3.2. The Theories $VTC^0$ , $\widehat{VTC}^0$ , and $\overline{VTC}^0$ . . . . .	283
IX.3.3. Number Recursion and Number Summation . . . . .	287
IX.3.4. The Theory $VTC^0V$ . . . . .	289
IX.3.5. Proving the Pigeonhole Principle in $VTC^0$ . . . . .	291
IX.3.6. Defining String Multiplication in $VTC^0$ . . . . .	293
IX.3.7. Proving Finite Szpilrajn's Theorem in $VTC^0$ . . . . .	298
IX.3.8. Proving Bondy's Theorem . . . . .	299
IX.4. Theories for $AC^0(m)$ and $ACC$ . . . . .	303
IX.4.1. The Classes $AC^0(m)$ and $ACC$ . . . . .	303
IX.4.2. The Theories $V^0(2)$ , $\widehat{V^0(2)}$ , and $\overline{V^0(2)}$ . . . . .	304
IX.4.3. The "onto" PHP and Parity Principle . . . . .	306
IX.4.4. The Theory $VAC^0(2)V$ . . . . .	308
IX.4.5. The Jordan Curve Theorem and Related Principles . . . . .	309
IX.4.6. The Theories for $AC^0(m)$ and $ACC$ . . . . .	313
IX.4.7. The Modulo $m$ Counting Principles . . . . .	316
IX.4.8. The Theory $VAC^0(6)V$ . . . . .	318
IX.5. Theories for $NC^1$ and the $NC$ Hierarchy . . . . .	319
IX.5.1. Definitions of the Classes . . . . .	320
IX.5.2. BSVP and $NC^1$ . . . . .	321
IX.5.3. The Theories $VNC^1$ , $\widehat{VNC}^1$ , and $\overline{VNC}^1$ . . . . .	323
IX.5.4. $VTC^0 \subseteq VNC^1$ . . . . .	326
IX.5.5. The Theory $VNC^1V$ . . . . .	333
IX.5.6. Theories for the $NC$ Hierarchy . . . . .	335
IX.6. Theories for $NL$ and $L$ . . . . .	339
IX.6.1. The Theories $VNL$ , $\widehat{VNL}$ , and $\overline{VNL}$ . . . . .	339
IX.6.2. The Theory $V^1\text{-KROM}$ . . . . .	343
IX.6.3. The Theories $VL$ , $\widehat{VL}$ , and $\overline{VL}$ . . . . .	351
IX.6.4. The Theory $VLV$ . . . . .	356
IX.7. Open Problems . . . . .	358
IX.7.1. Proving Cayley–Hamilton in $VNC^2$ . . . . .	358
IX.7.2. $VSL$ and $VSL \stackrel{?}{=} VL$ . . . . .	358
IX.7.3. Defining $[X/Y]$ in $VTC^0$ . . . . .	360
IX.7.4. Proving PHP and $Count_{m'}$ in $V^0(m)$ . . . . .	360
IX.8. Notes . . . . .	360

CHAPTER X. PROOF SYSTEMS AND THE REFLECTION PRINCIPLE .....	363
X.1. Formalizing Propositional Translations .....	364
X.1.1. Verifying Proofs in $TC^0$ .....	364
X.1.2. Computing Propositional Translations in $TC^0$ .....	373
X.1.3. The Propositional Translation Theorem for $TV^i$ .....	377
X.2. The Reflection Principle .....	382
X.2.1. Truth Definitions .....	383
X.2.2. Truth Definitions vs Propositional Translations .....	387
X.2.3. RFN and Consistency for Subsystems of $G$ .....	396
X.2.4. Axiomatizations Using RFN .....	403
X.2.5. Proving $p$ -Simulations Using RFN .....	407
X.2.6. The Witnessing Problems for $G$ .....	408
X.3. $VNC^1$ and $G_0^*$ .....	410
X.3.1. Propositional Translation for $VNC^1$ .....	410
X.3.2. The Boolean Sentence Value Problem .....	414
X.3.3. Reflection Principle for $PK$ .....	421
X.4. $VTC^0$ and Threshold Logic .....	428
X.4.1. The Sequent Calculus $PTK$ .....	428
X.4.2. Reflection Principles for Bounded Depth $PTK$ .....	433
X.4.3. Propositional Translation for $VTC^0$ .....	434
X.4.4. Bounded Depth $GTC_0$ .....	441
X.5. Notes .....	442
APPENDIX A. COMPUTATION MODELS .....	445
A.1. Deterministic Turing Machines .....	445
A.1.1. $L$ , $P$ , $PSPACE$ , and $EXP$ .....	447
A.2. Nondeterministic Turing Machines .....	449
A.3. Oracle Turing Machines .....	451
A.4. Alternating Turing Machines .....	452
A.5. Uniform Circuit Families .....	453
BIBLIOGRAPHY .....	457
INDEX .....	465