

Contents

Foreword.....	xix
Acknowledgments.....	xxi
Authors.....	xxiii
Chapter 1 Overview of Polarimetric Radar Imaging	1
1.1 Brief History of Polarimetric Radar Imaging	1
1.1.1 Introduction.....	1
1.1.2 Development of Imaging Radar.....	2
1.1.3 Development of Polarimetric Radar Imaging.....	2
1.1.4 Education of Polarimetric Radar Imaging	4
1.2 SAR Image Formation: Summary	5
1.2.1 Introduction.....	5
1.2.2 SAR Geometric Configuration.....	6
1.2.3 SAR Spatial Resolution	8
1.2.4 SAR Image Processing	9
1.2.5 SAR Complex Image.....	10
1.3 Airborne and Space-Borne Polarimetric SAR Systems.....	13
1.3.1 Introduction.....	13
1.3.2 Airborne Polarimetric SAR Systems	14
1.3.2.1 AIRSAR (NASA/JPL).....	14
1.3.2.2 CONVAIR-580 C/X-SAR (CCRS/EC)	16
1.3.2.3 EMISAR (DCRS).....	16
1.3.2.4 E-SAR (DLR).....	16
1.3.2.5 PI-SAR (JAXA-NICT).....	17
1.3.2.6 RAMSES (ONERA-DEMR).....	17
1.3.2.7 SETHI (ONERA-DEMR)	18
1.3.3 Space-Borne Polarimetric SAR Systems	19
1.3.3.1 SIR-C/X SAR (NASA/DARA/ASI).....	19
1.3.3.2 ENVISAT-ASAR (ESA).....	19
1.3.3.3 ALOS-PALSAR (JAXA/JAROS).....	20
1.3.3.4 TerraSAR-X (BMBF/DLR/Astrium GmbH)	21
1.3.3.5 RADARSAT-2 (CSA/MDA).....	22
1.4 Description of the Chapters	22
References	28
Chapter 2 Electromagnetic Vector Wave and Polarization Descriptors	31
2.1 Monochromatic Electromagnetic Plane Wave.....	31
2.1.1 Equation of Propagation	31
2.1.2 Monochromatic Plane Wave Solution.....	32

2.2	Polarization Ellipse	34
2.3	Jones Vector	37
2.3.1	Definition	37
2.3.2	Special Unitary Group $SU(2)$	38
2.3.3	Orthogonal Polarization States and Polarization Basis	40
2.3.4	Change of Polarimetric Basis	41
2.4	Stokes Vector	43
2.4.1	Real Representation of a Plane Wave Vector	43
2.4.2	Special Unitary Group $O(3)$	46
2.5	Wave Covariance Matrix	47
2.5.1	Wave Degree of Polarization	47
2.5.2	Wave Anisotropy and Wave Entropy	48
2.5.3	Partially Polarized Wave Dichotomy Theorem	49
	References	51
Chapter 3	Electromagnetic Vector Scattering Operators	53
3.1	Polarimetric Backscattering Sinclair S Matrix	53
3.1.1	Radar Equation	53
3.1.2	Scattering Matrix	55
3.1.3	Scattering Coordinate Frameworks	61
3.2	Scattering Target Vectors \underline{k} and $\underline{\Omega}$	63
3.2.1	Introduction	63
3.2.2	Bistatic Scattering Case	63
3.2.3	Monostatic Backscattering Case	65
3.3	Polarimetric Coherency T and Covariance C Matrices	66
3.3.1	Introduction	66
3.3.2	Bistatic Scattering Case	66
3.3.3	Monostatic Backscattering Case	67
3.3.4	Scattering Symmetry Properties	69
3.3.5	Eigenvector/Eigenvalues Decomposition	72
3.4	Polarimetric Mueller M and Kennaugh K Matrices	73
3.4.1	Introduction	73
3.4.2	Monostatic Backscattering Case	74
3.4.3	Bistatic Scattering Case	77
3.5	Change of Polarimetric Basis	80
3.5.1	Monostatic Backscattering Matrix S	80
3.5.2	Polarimetric Coherency T Matrix	83
3.5.3	Polarimetric Covariance C Matrix	84
3.5.4	Polarimetric Kennaugh K Matrix	84
3.6	Target Polarimetric Characterization	85
3.6.1	Introduction	85
3.6.2	Target Characteristic Polarization States	87
3.6.2.1	Characteristic Target Polarization States in the Copolar Configuration	88
3.6.2.2	Characteristic Polarization States in the Cross-Polar Configuration	88

3.6.3	Diagonalization of the Sinclair S Matrix	89
3.6.4	Canonical Scattering Mechanism	92
3.6.4.1	Sphere, Flat Plate, Trihedral	92
3.6.4.2	Horizontal Dipole	93
3.6.4.3	Oriented Dipole	94
3.6.4.4	Dihedral	95
3.6.4.5	Right Helix	96
3.6.4.6	Left Helix	97
	References	98
Chapter 4 Polarimetric SAR Speckle Statistics		101
4.1	Fundamental Property of Speckle in SAR Images	101
4.1.1	Speckle Formation	101
4.1.2	Rayleigh Speckle Model	102
4.2	Speckle Statistics for Multilook-Processed SAR Images	105
4.3	Texture Model and K -Distribution	108
4.3.1	Normalized N -Look Intensity K -Distribution	108
4.3.2	Normalized N -Look Amplitude K -Distribution	109
4.4	Effect of Speckle Spatial Correlation	110
4.4.1	Equivalent Number of Looks	111
4.5	Polarimetric and Interferometric SAR Speckle Statistics	112
4.5.1	Complex Gaussian and Complex Wishart Distribution	112
4.5.2	Monte Carlo Simulation of Polarimetric SAR Data	114
4.5.3	Verification of the Simulation Procedure	115
4.5.4	Complex Correlation Coefficient	115
4.6	Phase Difference Distributions of Single- and Multilook Polarimetric SAR Data	116
4.6.1	Alternative Form of Phase Difference Distribution	120
4.7	Multilook Product Distribution	120
4.8	Joint Distribution of Multilook $ S_i ^2$ and $ S_j ^2$	121
4.9	Multilook Intensity and Amplitude Ratio Distributions	122
4.10	Verification of Multilook PDFs	125
4.11	K -Distribution for Multilook Polarimetric Data	130
4.12	Summary	135
	Appendix 4.A	136
	Appendix 4.B	138
	Appendix 4.C	140
	Appendix 4.D	140
	References	141
Chapter 5 Polarimetric SAR Speckle Filtering		143
5.1	Introduction to Speckle Filtering of SAR Imagery	143
5.1.1	Speckle Noise Model	144
5.1.1.1	Speckle Noise Model for Polarimetric SAR Data	146

5.2	Filtering of Single Polarization SAR Data	147
5.2.1	Minimum Mean Square Filter	149
	5.2.1.1 Deficiencies of the Minimum Mean Square Error (MMSE) Filter.....	150
5.2.2	Speckle Filtering with Edge-Aligned Window: Refined Lee Filter	150
5.3	Review of Multipolarization Speckle Filtering Algorithms	152
5.3.1	Polarimetric Whitening Filter	153
5.3.2	Extension of PWF to Multilook Polarimetric Data	156
5.3.3	Optimal Weighting Filter.....	157
5.3.4	Vector Speckle Filtering	158
5.4	Polarimetric SAR Speckle Filtering.....	160
5.4.1	Principle of PolSAR Speckle Filtering	160
5.4.2	Refined Lee PolSAR Speckle Filter	161
5.4.3	Apply Region Growing Technique to PolSAR Speckle Filtering ...	165
5.5	Scattering Model-Based PolSAR Speckle Filter	166
5.5.1	Demonstration and Evaluation.....	169
5.5.2	Speckle Reduction	170
5.5.3	Preservation of Dominant Scattering Mechanism	172
5.5.4	Preservation of Point Target Signatures	174
	References.....	175

Chapter 6 Introduction to the Polarimetric Target Decomposition Concept 179

6.1	Introduction.....	179
6.2	Dichotomy of the Kennaugh Matrix K	181
6.2.1	Phenomenological Huynen Decomposition.....	181
6.2.2	Barnes–Holm Decomposition	185
6.2.3	Yang Decomposition	188
6.2.4	Interpretation of the Target Dichotomy Decomposition	191
6.3	Eigenvector-Based Decompositions	193
6.3.1	Cloude Decomposition.....	195
6.3.2	Holm Decompositions	195
6.3.3	van Zyl Decomposition.....	198
6.4	Model-Based Decompositions	200
6.4.1	Freeman–Durdan Three-Component Decomposition	200
6.4.2	Yamaguchi Four-Component Decomposition	206
6.4.3	Freeman Two-Component Decomposition	208
6.5	Coherent Decompositions	213
6.5.1	Introduction.....	213
6.5.2	Pauli Decomposition.....	214
6.5.3	Krogager Decomposition	215
6.5.4	Cameron Decomposition	219
	6.5.4.1 Scattering Matrix Coherent Decomposition.....	219
	6.5.4.2 Scattering Matrix Classification	221
6.5.5	Polar Decomposition.....	224
	References.....	225

Chapter 7	$H/A/\bar{\alpha}$ Polarimetric Decomposition Theorem.....	229
7.1	Introduction.....	229
7.2	Pure Target Case.....	229
7.3	Probabilistic Model for Random Media Scattering.....	230
7.4	Roll Invariance Property.....	232
7.5	Polarimetric Scattering $\bar{\alpha}$ Parameter.....	234
7.6	Polarimetric Scattering Entropy (H).....	237
7.7	Polarimetric Scattering Anisotropy (A).....	237
7.8	Three-Dimensional $H/A/\bar{\alpha}$ Classification Space.....	239
7.9	New Eigenvalue-Based Parameters.....	247
7.9.1	SERD and DERD Parameters.....	247
7.9.2	Shannon Entropy.....	249
7.9.3	Other Eigenvalue-Based Parameters.....	251
7.9.3.1	Target Randomness Parameter.....	251
7.9.3.2	Polarization Asymmetry and the Polarization Fraction Parameters.....	252
7.9.3.3	Radar Vegetation Index and the Pedestal Height Parameters.....	254
7.9.3.4	Alternative Entropy and Alpha Parameters Derivation.....	255
7.10	Speckle Filtering Effects on $H/A/\bar{\alpha}$	257
7.10.1	Entropy (H) Parameter.....	257
7.10.2	Anisotropy (A) Parameter.....	259
7.10.3	Averaged Alpha Angle ($\bar{\alpha}$) Parameter.....	259
7.10.4	Estimation Bias on $H/A/\bar{\alpha}$	259
	References.....	262
Chapter 8	PolSAR Terrain and Land-Use Classification.....	265
8.1	Introduction.....	265
8.2	Maximum Likelihood Classifier Based on Complex Gaussian Distribution.....	266
8.3	Complex Wishart Classifier for Multilook PolSAR Data.....	267
8.4	Characteristics of Wishart Distance Measure.....	268
8.5	Supervised Classification Using Wishart Distance Measure.....	271
8.6	Unsupervised Classification Based on Scattering Mechanisms and Wishart Classifier.....	274
8.6.1	Experiment Results.....	276
8.6.2	Extension to $H/\bar{\alpha}/A$ and Wishart Classifier.....	279
8.7	Scattering Model-Based Unsupervised Classification.....	281
8.7.1	Experiment Results.....	284
8.7.1.1	NASA/JPL AIRSAR San Francisco Image.....	284
8.7.1.2	DLR E-SAR L-Band Oberpfaffenhofen Image.....	286
8.7.2	Discussion.....	288

8.8	Quantitative Comparison of Classification Capability: Fully Polarimetric SAR vs. Dual- and Single-Polarization SAR.....	291
8.8.1	Supervised Classification Evaluation Based on Maximum Likelihood Classifier.....	292
8.8.1.1	Classification Procedure.....	292
8.8.1.2	Comparison of Crop Classification.....	293
References	299
Chapter 9	Pol-InSAR Forest Mapping and Classification	301
9.1	Introduction.....	301
9.2	Pol-InSAR Scattering Descriptors.....	303
9.2.1	Polarimetric Interferometric Coherency T_6 Matrix.....	303
9.2.2	Complex Polarimetric Interferometric Coherence.....	307
9.2.3	Polarimetric Interferometric Coherence Optimization.....	308
9.2.4	Polarimetric Interferometric SAR Data Statistics.....	313
9.3	Forest Mapping and Forest Classification.....	314
9.3.1	Forested Area Segmentation.....	314
9.3.2	Unsupervised Pol-InSAR Classification of the Volume Class... ..	314
9.3.3	Supervised Pol-InSAR Forest Classification.....	318
Appendix 9.A	320
	Derivation of Optimal Coherence Set Statistics.....	320
References	321
Chapter 10	Selected Polarimetric SAR Applications	323
10.1	Polarimetric Signature Analysis of Man-Made Structures.....	323
10.1.1	Slant Range of Multiple Bounce Scattering.....	324
10.1.2	Polarimetric Signature of the Bridge during Construction.....	325
10.1.3	Polarimetric Signature of the Bridge after Construction.....	329
10.1.4	Conclusion.....	332
10.2	Polarization Orientation Angle Estimation and Applications.....	333
10.2.1	Radar Geometry of Polarization Orientation Angle.....	333
10.2.2	Circular Polarization Covariance Matrix.....	334
10.2.3	Circular Polarization Algorithm.....	336
10.2.4	Discussion.....	339
10.2.5	Orientation Angles Applications.....	342
10.3	Ocean Surface Remote Sensing with Polarimetric SAR.....	345
10.3.1	Cold Water Filament Detection.....	345
10.3.2	Ocean Surface Slope Sensing.....	346
10.3.3	Directional Wave Slope Spectra Measurement.....	347
10.4	Ionosphere Faraday Rotation Estimation.....	350
10.4.1	Faraday Rotation Estimation.....	351
10.4.2	Faraday Rotation Angle Estimation from ALOS PALSAR Data.....	353

10.5	Polarimetric SAR Interferometry for Forest Height Estimation.....	354
10.5.1	Problems Associated with Coherence Estimation	357
10.5.2	Adaptive Pol-InSAR Speckle Filtering Algorithm.....	358
10.5.3	Demonstration Using E-SAR Glen Affric Pol-InSAR Data	358
10.6	Nonstationary Natural Media Analysis from PolSAR Data Using a 2-D Time-Frequency Approach	362
10.6.1	Introduction.....	362
10.6.2	Principle of SAR Data Time-Frequency Analysis	362
10.6.2.1	Time-Frequency Decomposition	362
10.6.2.2	SAR Image Decomposition in Range and Azimuth... ..	363
10.6.2.3	Analysis in the Azimuth Direction	364
10.6.2.4	Analysis in the Range Direction	365
10.6.3	Discrete Time-Frequency Decomposition of Nonstationary Media PolSAR Response.....	365
10.6.3.1	Anisotropic Polarimetric Behavior.....	365
10.6.3.2	Decomposition in the Azimuth Direction.....	366
10.6.3.3	Decomposition in the Range Direction.....	368
10.6.4	Nonstationary Media Detection and Analysis	369
	References	375
	Appendix A: Eigen Characteristics of Hermitian Matrix	379
	Reference.....	384
	Appendix B: PolSARpro Software: The Polarimetric SAR Data Processing and Educational Toolbox.....	385
B.1	Introduction.....	385
B.2	Concepts and Principal Objectives	385
B.3	Software Portability and Development Languages	387
B.4	Outlook	388
	Index.....	391