

Contents

| | |
|---|----|
| Prologue | 3 |
| 1. Research Strategies | 7 |
| James J. Schlesselman and Paul D. Stolley | |
| 1.0 Introduction | 7 |
| 1.1 Experimental Studies | 7 |
| 1.2 Observational Studies | 10 |
| 1.3 Choosing Among Research Strategies | 17 |
| 1.4 Causation | 20 |
| 1.5 History of Case-Control Studies | 25 |
| 2. Basic Concepts in the Assessment of Risk | 27 |
| 2.0 Introduction | 27 |
| 2.1 Disease Occurrence | 27 |
| 2.2 Relative Measures of Disease Occurrence | 32 |
| 2.3 Cohort and Case-Control Sampling Schemes | 34 |
| 2.4 Risk of Disease Attributable to Exposure | 40 |
| 2.5 Exposure | 45 |
| 2.6 Interpretation of Relative Risk (Data Example) | 49 |
| 2.7 Cumulative Risk of Disease | 52 |
| 2.8 Association and Testing for Significance | 53 |
| 2.9 Relative Risk as a Measure of the Strength of Association | 56 |
| 2.10 Confounding | 58 |
| 2.11 Interaction | 63 |
| 2.12 Summary | 68 |
| 3. Planning and Conducting a Study | 69 |
| Paul D. Stolley and James J. Schlesselman | |
| 3.0 Introduction | 69 |
| 3.1 Stating the Research Question | 69 |

| | | | |
|------|---|-----|--|
| 3.2 | Definition of Cases | 71 | |
| 3.3 | Defining a Control Group | 76 | |
| 3.4 | Methods of Selecting Cases and Controls | 80 | |
| 3.5 | Developing the Research Instrument | 86 | |
| 3.6 | Informed Consent and Confidentiality | 92 | |
| 3.7 | Pilot Testing | 94 | |
| 3.8 | Preparing for and Conducting Field Operations | 97 | |
| 3.9 | Preparation for Data Analysis | 99 | |
| 3.10 | Checklist for Protocol Development | 101 | |
| 4. | Matching | 105 | |
| 4.0 | Introduction | 105 | |
| 4.1 | Criteria for Matching | 107 | |
| 4.2 | Overmatching | 109 | |
| 4.3 | Alternatives to Matching | 111 | |
| 4.4 | Effectiveness of Matching and Its Alternatives | 115 | |
| 4.5 | Expected Number of Matches | 117 | |
| 4.6 | How Closely Should One Match? | 118 | |
| 4.7 | Advantages and Disadvantages of Matching | 120 | |
| 4.8 | Summary | 122 | |
| 5. | Sources of Bias | 124 | |
| | James J. Schlesselman and Paul D. Stolley | | |
| 5.0 | Introduction | 124 | |
| 5.1 | Ascertainment and Selection Bias | 124 | |
| 5.2 | Bias in the Estimation of Exposure | 135 | |
| 5.3 | Misclassification | 137 | |
| 5.4 | Other Sources of Error | 140 | |
| 5.5 | Summary | 143 | |
| 6. | Sample Size | 144 | |
| 6.0 | Introduction | 144 | |
| 6.1 | Sample Size and Power for Unmatched Studies | 145 | |
| 6.2 | Sample Size and Power with Multiple Controls per Case | 150 | |
| 6.3 | Smallest Detectable Relative Risk | 152 | |
| 6.4 | Optimal Allocation | 154 | |
| 6.5 | Adjustment for Confounding | 159 | |
| 6.6 | Sample Size and Power for Pair-Matched Studies | 160 | |
| 6.7 | Sequential Case-Control Studies | 163 | |
| 6.8 | Further Considerations in Estimating Sample Size | 165 | |
| 6.9 | Summary | 170 | |

| | |
|--|-----|
| 7. Basic Methods of Analysis | 171 |
| 7.0 Introduction | 171 |
| 7.1 Unmatched Analysis of a Single 2×2 Table | 174 |
| 7.2 Adjustment for Confounding | 181 |
| 7.3 Assessment of Individual and Joint Effects of Two or More Variables | 196 |
| 7.4 Test for Dose Response | 200 |
| 7.5 Test-Based Confidence Limits | 206 |
| 7.6 Matched Analysis with One Control per Case | 207 |
| 7.7 Matched Analysis with Two Controls per Case | 213 |
| 7.8 Matched Analysis with Three or More Controls per Case | 216 |
| 7.9 Estimation of the Etiologic Fraction | 220 |
| 8. Multivariate Analysis | 227 |
| 8.0 Introduction | 227 |
| 8.1 Interpretation of Logistic Parameters | 230 |
| 8.2 Logistic Regression for Case-Control Studies | 235 |
| 8.3 Indicator Variables | 241 |
| 8.4 Estimation of Logistic Parameters | 244 |
| 8.5 Discussion of Logistic Models | 250 |
| 8.6 Application of Logistic Regression | 254 |
| 8.7 Further Topics in Logistic Regression | 263 |
| 8.8 Matched Analysis | 269 |
| 8.9 Confounder Score | 275 |
| 8.10 Loglinear Models | 280 |
| Epilogue | 291 |
| Appendices | 293 |
| A. Case-Control Sample Size | 293 |
| B. Cumulative Normal Frequency Distribution | 315 |
| C. Largest and Smallest Detectable Relative Risks | 319 |
| D. Sample Size for Group Sequential Case-Control Studies | 324 |
| References | 325 |
| Index | 344 |