

# Contents

|  |          |
|--|----------|
| Preface  | xi       |
| Acknowledgments  | xv       |
| <b>Part 1. Background</b>  | <b>1</b> |
| Chapter 1. Elliptic Functions  | 3        |
| 1.1. Eisenstein series   | 4        |
| 1.2. Weierstrass $\wp$ -function                                       | 5        |
| 1.3. Weierstrass $\zeta$ -function                                     | 8        |
| 1.4. Eichler integrals of weight 2 newforms                            | 10       |
| Chapter 2. Theta Functions and Holomorphic Jacobi Forms                | 13       |
| 2.1. Jacobi theta functions  | 13       |
| 2.2. Basic facts on Jacobi forms                                       | 16       |
| 2.3. Examples of Jacobi forms  | 20       |
| 2.3.1. The Jacobi theta function                                       | 21       |
| 2.3.2. Jacobi-Eisenstein series  | 21       |
| 2.3.3. Weierstrass $\wp$ -function                                     | 25       |
| 2.4. A structure theorem for $J_{k,m}$                                 | 26       |
| 2.5. Relationship with half-integral weight modular forms              | 27       |
| 2.5.1. Theta decompositions  | 27       |
| 2.5.2. An isomorphism to Kohnen's plus space                           | 29       |
| 2.6. Hecke theory for $J_{k,m}$ and the Jacobi-Petersson inner product | 30       |
| 2.6.1. Hecke theory of $J_{k,m}$                                       | 30       |
| 2.6.2. The Jacobi-Petersson inner product                              | 34       |
| 2.7. Taylor expansions   | 36       |
| 2.8. Related topics  | 43       |
| 2.8.1. Siegel modular forms  | 44       |
| 2.8.2. Skew-holomorphic Jacobi forms                                   | 46       |
| Chapter 3. Classical Maass Forms                                       | 49       |
| 3.1. Definitions   | 49       |
| 3.2. Fourier expansions  | 50       |
| 3.3. General discussion  | 51       |
| 3.4. Eisenstein series   | 52       |
| 3.5. $L$ -functions of Maass cusp forms                                | 53       |
| 3.6. Maass cusp forms arising from real quadratic fields               | 55       |
| 3.6.1. Hecke characters  | 55       |
| 3.6.2. Maass cusp forms from real quadratic fields                     | 55       |

|   |           |
|---|-----------|
| 3.7. Hecke theory on Maass cusp forms                           | 56        |
| 3.8. Period functions of Maass cusp forms                       | 56        |
| <b>Part 2. Harmonic Maass Forms and Mock Modular Forms</b>      | <b>59</b> |
| Chapter 4. The Basics   | 61        |
| 4.1. Definitions  | 61        |
| 4.2. Fourier expansions   | 63        |
| Chapter 5. Differential Operators and Mock Modular Forms        | 67        |
| 5.1. Maass operators and harmonic Maass forms                   | 67        |
| 5.2. The $\xi$ -operator and pairing of Bruinier and Funke      | 74        |
| 5.3. The flipping operator                                      | 77        |
| 5.4. Mock modular forms and shadows                             | 80        |
| Chapter 6. Examples of Harmonic Maass Forms                     | 83        |
| 6.1. $E_2^*(\tau)$ and Zagier's weight $3/2$ Eisenstein series  | 83        |
| 6.1.1. The Eisenstein series $E_2^*(\tau)$                      | 83        |
| 6.1.2. Zagier's weight $3/2$ nonholomorphic Eisenstein series   | 85        |
| 6.2. Weierstrass mock modular forms                             | 87        |
| 6.3. Maass-Poincaré series                                      | 91        |
| 6.4. $p$ -adic harmonic Maass forms in the sense of Serre       | 108       |
| Chapter 7. Hecke Theory   | 113       |
| 7.1. Basic facts  | 113       |
| 7.2. Weakly holomorphic Hecke eigenforms                        | 115       |
| 7.3. Harmonic Maass forms and complex multiplication            | 116       |
| 7.4. $p$ -adic properties of integral weight mock modular forms | 117       |
| 7.4.1. Algebraicity   | 117       |
| 7.4.2. $p$ -adic coupling of mock modular forms with newforms   | 119       |
| 7.4.3. Relationship with $p$ -adic modular forms                | 123       |
| 7.5. $p$ -adic harmonic Maass functions                         | 125       |
| Chapter 8. Zwegers' Thesis                                      | 133       |
| 8.1. Zwegers' thesis I: Appell-Lerch series                     | 133       |
| 8.2. Zwegers' thesis II: indefinite theta series                | 148       |
| Chapter 9. Ramanujan's Mock Theta Functions                     | 159       |
| 9.1. Ramanujan's last letter to Hardy                           | 159       |
| 9.2. Work of Watson and Andrews                                 | 161       |
| 9.3. Third order mock theta functions revisited                 | 163       |
| 9.4. Mock theta functions as indefinite theta series            | 165       |
| 9.5. Universal mock theta functions                             | 167       |
| 9.6. The Mock Theta Conjectures                                 | 170       |
| 9.7. The Andrews-Dragonette Conjecture                          | 171       |
| 9.8. Ramanujan's original claim revisited                       | 173       |
| Chapter 10. Holomorphic Projection                              | 177       |
| 10.1. Principle of holomorphic projection                       | 177       |
| 10.2. Regularized holomorphic projection                        | 179       |
| 10.3. Kronecker-type relations for mock modular forms           | 180       |

|   |            |
|---|------------|
| Chapter 11. Meromorphic Jacobi Forms  | 183        |
| 11.1. Mock theta functions as coefficients of meromorphic forms                       | 183        |
| 11.2. Positive index Jacobi forms   | 183        |
| 11.3. Negative index Jacobi forms   | 188        |
| Chapter 12. Mock Modular Eichler-Shimura Theory                                       | 193        |
| 12.1. Classical Eichler-Shimura theory  | 193        |
| 12.2. Period polynomials for weakly holomorphic modular forms                         | 198        |
| 12.3. Cycle integrals of weakly holomorphic modular forms                             | 203        |
| Chapter 13. Related Automorphic Forms   | 207        |
| 13.1. Introduction  | 207        |
| 13.2. Mixed mock modular forms  | 208        |
| 13.3. Polar harmonic Maass forms  | 211        |
| 13.3.1. Divisors of modular forms   | 211        |
| 13.3.2. Definitions of the functions in Theorem 13.4<br>and the proof of Theorem 13.5 | 214        |
| 13.3.3. Green's functions   | 215        |
| 13.3.4. Definition and construction of polar harmonic Maass forms                     | 216        |
| 13.4. Locally harmonic Maass forms  | 218        |
| <b>Part 3. Applications</b>   | <b>221</b> |
| Chapter 14. Partitions and Unimodal Sequences   | 223        |
| 14.1. Asymptotic formulas for partitions  | 223        |
| 14.2. Ramanujan's partition congruences   | 226        |
| 14.3. Ranks and cranks  | 227        |
| 14.3.1. Definition and generating functions   | 227        |
| 14.3.2. Properties of the crank partition function                                    | 231        |
| 14.3.3. Properties of the rank partition function                                     | 232        |
| 14.4. Unimodal sequences  | 234        |
| 14.5. Andrews' spt-function   | 240        |
| Chapter 15. Asymptotics for Coefficients of Modular-type Functions                    | 245        |
| 15.1. Prologue  | 245        |
| 15.2. Asymptotic methods  | 246        |
| 15.3. Classical holomorphic modular forms   | 247        |
| 15.4. Weakly holomorphic modular forms and mock modular forms                         | 251        |
| 15.5. Coefficients of meromorphic modular forms                                       | 253        |
| 15.6. Mixed mock modular forms  | 256        |
| 15.7. The Wright Circle Method  | 258        |
| Chapter 16. Harmonic Maass Forms as Arithmetic and Geometric<br>Generating Functions  | 263        |
| 16.1. Zagier's work on traces of singular moduli                                      | 263        |
| 16.2. Maass-Poincaré series   | 267        |
| 16.3. Relation to (theta) lifts   | 269        |
| 16.4. Gross-Kohnen-Zagier and generalized Jacobians                                   | 271        |
| 16.5. Cycle integrals and mock modular forms  | 274        |
| 16.6. Weight one harmonic Maass forms   | 278        |

|   |     |
|---|-----|
| Chapter 17. Shifted Convolution $L$ -functions                      | 283 |
| 17.1. Rankin-Selberg convolutions                                   | 283 |
| 17.2. Hoffstein-Hulse shifted convolution $L$ -functions            | 285 |
| 17.3. Special values of shifted convolution $L$ -functions          | 285 |
| 17.3.1. $p$ -adic properties of special values                      | 287 |
| Chapter 18. Generalized Borcherds Products                          | 291 |
| 18.1. The simplest Borcherds products                               | 291 |
| 18.2. Twisted Borcherds products                                    | 294 |
| 18.3. Generalization to the mock modular setting                    | 295 |
| 18.3.1. The Weil representation                                     | 296 |
| 18.3.2. The $\Gamma_0(N)$ set-up                                    | 296 |
| 18.3.3. Vector-valued harmonic Maass forms                          | 298 |
| 18.3.4. Twisted Siegel theta functions                              | 299 |
| 18.3.5. Twisted Heegner divisors                                    | 300 |
| 18.3.6. Generalized Borcherds products                              | 302 |
| 18.4. Examples of generalized Borcherds products                    | 303 |
| 18.4.1. Twisted Borcherds products revisited                        | 303 |
| 18.4.2. Ramanujan's mock theta functions $f(q)$ and $\omega(q)$     | 304 |
| Chapter 19. Elliptic Curves over $\mathbb{Q}$                       | 307 |
| 19.1. The Birch and Swinnerton-Dyer Conjecture                      | 307 |
| 19.1.1. Rational points on elliptic curves                          | 307 |
| 19.1.2. The Birch and Swinnerton-Dyer Conjecture                    | 309 |
| 19.2. Quadratic twists of elliptic curves                           | 312 |
| 19.2.1. Quadratic twists  | 312 |
| 19.3. The Shimura correspondence                                    | 314 |
| 19.4. Central values of quadratic twist $L$ -functions              | 314 |
| 19.4.1. A theorem of Kohnen and Zagier                              | 315 |
| 19.4.2. A theorem of Waldspurger                                    | 315 |
| 19.5. Harmonic Maass forms and quadratic twists of elliptic curves  | 317 |
| Chapter 20. Representation Theory and Mock Modular Forms            | 323 |
| 20.1. Monstrous Moonshine   | 323 |
| 20.2. Kac-Wakimoto characters                                       | 327 |
| 20.2.1. The case with $n = 1, m \geq 2$                             | 327 |
| 20.2.2. The case with $m > n$                                       | 330 |
| 20.2.3. The case with $m < n$                                       | 332 |
| 20.2.4. The case with $m = n$                                       | 333 |
| 20.2.5. Additional supercharacters                                  | 334 |
| 20.3. Umbral Moonshine  | 334 |
| Chapter 21. Quantum Modular Forms                                   | 339 |
| 21.1. Introduction to quantum modular forms                         | 339 |
| 21.2. Quantum modular forms and Maass forms                         | 340 |
| 21.3. Quantum modular forms and Eichler integrals                   | 341 |
| 21.3.1. Kontsevich's function                                       | 341 |
| 21.3.2. Eichler integrals and partial theta functions               | 342 |
| 21.4. Quantum modular forms and radial limits of mock modular forms | 344 |
| 21.4.1. A unimodal rank generating function                         | 344 |

|   |     |
|---|-----|
| 21.4.2. Radial limits and quantum modular forms         | 345 |
| 21.5. Quantum modular forms and partial theta functions | 348 |
| 21.5.1. Connections with the Habiro ring                | 350 |
| Appendix A. Representations of Mock Theta Functions     | 353 |
| A.1. Order 2 mock theta functions                       | 353 |
| A.2. Order 3 mock theta functions                       | 354 |
| A.3. Order 5 mock theta functions                       | 356 |
| A.4. Order 6 mock theta functions                       | 359 |
| A.5. Order 7 mock theta functions                       | 362 |
| A.6. Order 8 mock theta functions                       | 363 |
| A.7. Order 10 mock theta functions                      | 365 |
| Bibliography  | 367 |
| Index   | 387 |