

# Contents of Volume 2

<b>Chapter 1. Complexity: A Language-Theoretic Point of View</b>	
<i>Cristian Calude and Juraj Hromkovič</i> .....	1
1. Introduction .....	1
2. Theory of computation .....	3
2.1 Computing fallibilities .....	3
2.2 Turing machines, Chaitin computers, and Chomsky grammars ..	7
2.3 Universality .....	8
2.4 Silencing a universal computer .....	11
2.5 Digression: A simple grammatical model of brain behaviour ..	12
2.6 The halting problem .....	13
2.7 The Church–Turing Thesis .....	14
2.8 Digression: mind, brain, and computers .....	15
3. Computational complexity measures and complexity classes .....	16
3.1 Time and space complexities and their properties .....	16
3.2 Classification of problems according to computational difficulty and nondeterminism .....	25
3.3 Hard problems and probabilistic computations .....	31
4. Program-size complexity .....	36
4.1 Dynamic versus program-size complexities .....	36
4.2 The halting problem revisited .....	38
4.3 Random strings .....	39
4.4 From random to regular languages .....	41
4.5 Trade-offs .....	45
4.6 More about $P =?NP$ .....	46
5. Parallelism .....	47
5.1 Parallel computation thesis and alternation .....	47
5.2 Limits to parallel computation and $P$ -completeness .....	51
5.3 Communication in parallel and distributive computing .....	52
References .....	54
<b>Chapter 2. Parsing of Context-Free Languages</b>	
<i>Klaas Sikkel and Anton Nijholt</i> .....	61
1. Introduction .....	61
1.1 Parsing algorithms .....	62
1.2 Parsing technology .....	63
1.3 About this chapter .....	64
2. An informal introduction .....	66

3.	Parsing schemata . . . . .	70
3.1	Parsing systems . . . . .	70
3.2	Parsing schemata . . . . .	71
3.3	Correctness of parsing schemata . . . . .	72
4.	Generalization . . . . .	74
4.1	Some examples . . . . .	74
4.2	Formalization . . . . .	75
4.3	Properties of generalization . . . . .	77
5.	Filtering . . . . .	79
5.1	Static filtering . . . . .	79
5.2	Dynamic filtering . . . . .	80
5.3	Step contraction . . . . .	82
5.4	Properties of filtering relations . . . . .	83
6.	Some larger examples . . . . .	83
6.1	Left-corner parsing . . . . .	84
6.2	De Vreugt and Honig's algorithm . . . . .	86
6.3	Rytter's algorithm . . . . .	90
6.4	Some general remarks . . . . .	92
7.	From schemata to algorithms . . . . .	93
8.	Beyond context-free grammars . . . . .	96
9.	Conclusions . . . . .	97
	References . . . . .	97

### Chapter 3. Grammars with Controlled Derivations

<i>Jürgen Dassow, Gheorghe Păun, and Arto Salomaa</i> . . . . .		101
1.	Introduction and notations . . . . .	101
2.	Some types of controlled derivations and their power . . . . .	103
2.1	Prescribed sequences . . . . .	103
2.2	Control by context conditions . . . . .	115
2.3	Grammars with partial parallelism . . . . .	124
2.4	Indexed grammars . . . . .	134
2.5	Hierarchies of families with controlled derivations . . . . .	135
3.	Basic properties . . . . .	139
3.1	Operations on language families . . . . .	139
3.2	Decision problems . . . . .	141
3.3	Descriptive complexity . . . . .	145
4.	Further topics . . . . .	148
	References . . . . .	150

### Chapter 4. Grammar Systems

<i>Jürgen Dassow, Gheorghe Păun, and Grzegorz Rozenberg</i> . . . . .		155
1.	Introduction . . . . .	155
2.	Formal language prerequisites . . . . .	157
3.	CD grammar systems . . . . .	158
3.1	Definitions . . . . .	158

3.2 Examples .....	160
3.3 On the generative capacity .....	162
3.4 Hybrid systems .....	164
3.5 Increasing the power by teams .....	167
3.6 Descriptive complexity .....	169
3.7 Other classes of CD grammar systems .....	172
4. PC grammar systems .....	173
4.1 Definitions .....	173
4.2 Examples .....	177
4.3 On the generative capacity .....	180
4.4 The context-sensitive case .....	184
4.5 Non-synchronized PC grammar systems .....	185
4.6 Descriptive and communication complexity .....	186
4.7 PC grammar systems with communication by command .....	189
4.8 Further variants and results .....	194
5. Related models .....	196
5.1 Eco-grammar systems .....	196
5.2 Test tube systems .....	201
References .....	207

## Chapter 5. Contextual Grammars and Natural Languages

<i>Solomon Marcus</i> .....	215
The year 1957: two complementary strategies .....	215
The origin of contextual grammars .....	216
Motivation of simple contextual grammars and of contextual grammars with choice .....	216
The duality between strings and contexts and the Sestier closure .....	218
Steps in modelling morphological categories .....	219
The contextual approach in a generative perspective .....	221
Contextual grammars can generate both strings and contexts .....	223
Interplay of strings, contexts and contextual grammars with choice .....	225
Going deeper in the interplay strings-contexts .....	227
A higher level of abstraction: parts of speech .....	228
Generative power of contextual grammars .....	229
Further suggestions: restricted contextual grammars, grammar systems and splicing contextual schemes .....	230
References .....	232

## Chapter 6. Contextual Grammars and Formal Languages

<i>Andrzej Ehrenfeucht, Gheorghe Păun, and Grzegorz Rozenberg</i> .....	237
1. Introduction .....	237
2. Contextual grammars with unrestricted choice .....	238
2.1 Preliminaries .....	238
2.2 Definitions .....	238

2.3 Examples .....	241
2.4 Necessary conditions and counterexamples .....	243
2.5 Generative capacity .....	247
2.6 Closure properties.....	249
2.7 Decidability properties.....	253
3. Contextual grammars with restricted choice .....	256
3.1 Definitions and basic results .....	256
3.2 Internal contextual grammars with finite choice .....	261
3.3 External contextual grammars with regular choice.....	264
4. Variants of contextual grammars .....	275
4.1 Deterministic grammars .....	275
4.2 One-sided contexts .....	277
4.3 Leftmost derivation .....	281
4.4 Parallel derivation .....	282
4.5 Maximal/minimal use of selectors .....	284
5. Bibliographical notes .....	285
References .....	290

**Chapter 7. Language Theory and Molecular Genetics**

<i>Thomas Head, Gheorghe Păun, and Dennis Pixton</i> .....	295
1. Introduction .....	295
2. Formal language theory prerequisites .....	298
3. The splicing operation .....	298
3.1 The uniterated case .....	298
3.2 The iterated case .....	306
3.3 The case of multisets .....	319
4. Generative mechanisms based on splicing .....	325
4.1 Simple H systems .....	326
4.2 Extended H systems .....	333
5. Splicing circular words .....	335
5.1 Circular words .....	335
5.2 Circular splicing .....	336
5.3 Mixed splicing .....	342
6. Computing by splicing .....	344
7. Bibliographical notes .....	348
Appendix .....	351
References .....	358

**Chapter 8. String Editing and Longest Common Subsequences**

<i>Alberto Apostolico</i> .....	361
1. Introduction .....	361
1.1 Approximate string searching .....	363
1.2 Local similarity searches in DNA and protein sequences .....	363
1.3 Longest common subsequences .....	364

2.	Two basic paradigms for the LCS problem . . . . .	366
2.1	Hirschberg's paradigm: finding antichains one at a time . . . . .	368
2.2	Incremental antichain decompositions and the Hunt-Szymanski paradigm . . . . .	371
3.	A speed-up for HS . . . . .	372
4.	Finger trees . . . . .	375
5.	Linear space . . . . .	379
5.1	Computing the length of a solution . . . . .	380
5.2	Computing an LCS in $O(n(m - l))$ time and linear space . . . . .	382
6.	Combining few and diverse tools: Hirschberg's paradigm in linear space . . . . .	386
7.	Parallel algorithms . . . . .	389
	References . . . . .	395

## Chapter 9. Automata for Matching Patterns

	<i>Maxime Crochemore and Christophe Hancart</i> . . . . .	399
1.	Pattern matching and automata . . . . .	399
2.	Notations . . . . .	400
2.1	Alphabet and words . . . . .	401
2.2	Languages . . . . .	401
2.3	Regular expressions . . . . .	401
2.4	Finite automata . . . . .	402
2.5	Algorithms for matching patterns . . . . .	403
3.	Representations of deterministic automata . . . . .	405
3.1	Transition matrix . . . . .	405
3.2	Adjacency lists . . . . .	406
3.3	Transition list . . . . .	407
3.4	Failure function . . . . .	407
3.5	Table-compression . . . . .	408
4.	Matching regular expressions . . . . .	408
4.1	Outline . . . . .	408
4.2	Regular-expression-matching automata . . . . .	409
4.3	Searching with regular-expression-matching automata . . . . .	411
4.4	Time-space trade-off . . . . .	414
5.	Matching finite sets of words . . . . .	414
5.1	Outline . . . . .	414
5.2	Dictionary-matching automata . . . . .	415
5.3	Linear dictionary-matching automata . . . . .	416
5.4	Searching with linear dictionary-matching automata . . . . .	420
6.	Matching words . . . . .	422
6.1	Outline . . . . .	422
6.2	String-matching automata . . . . .	423
6.3	Linear string-matching automata . . . . .	426
6.4	Properties of string-matching automata . . . . .	428
6.5	Searching with linear string-matching automata . . . . .	431

7.	Suffix automata . . . . .	434
7.1	Outline . . . . .	434
7.2	Sizes and properties . . . . .	435
7.2.1	End-positions . . . . .	435
7.2.2	Suffix function . . . . .	436
7.2.3	State splitting . . . . .	437
7.2.4	Sizes of suffix automata . . . . .	439
7.3	Construction . . . . .	441
7.3.1	Suffix links and suffix paths . . . . .	441
7.3.2	On-line construction . . . . .	442
7.3.3	Complexity . . . . .	446
7.4	As indexes . . . . .	447
7.4.1	Membership . . . . .	448
7.4.2	First position . . . . .	448
7.4.3	Occurrence number . . . . .	449
7.4.4	List of positions . . . . .	450
7.4.5	Longest repeated factor . . . . .	450
7.5	As string-matching automata . . . . .	451
7.5.1	Ending factors . . . . .	451
7.5.2	Optimization of suffix links . . . . .	452
7.5.3	Searching for rotations . . . . .	453
7.6	Factor automata . . . . .	454
7.6.1	Relation to suffix automata . . . . .	454
7.6.2	Size of factor automata . . . . .	455
7.6.3	On-line construction . . . . .	456
	Bibliographic notes . . . . .	459
	References . . . . .	461
 Chapter 10. Symbolic Dynamics and Finite Automata		
	<i>Marie-Pierre Béal and Dominique Perrin</i> . . . . .	463
1.	Introduction . . . . .	463
2.	Symbolic dynamical systems . . . . .	464
3.	Recurrence and minimality . . . . .	470
4.	Sofic systems and shifts of finite type . . . . .	472
5.	Minimal automaton of a subshift . . . . .	477
6.	Codes and finite-to-one maps . . . . .	480
7.	State splitting and merging . . . . .	484
8.	Shift equivalence . . . . .	487
9.	Entropy . . . . .	490
10.	The road coloring problem . . . . .	496
11.	The zeta function of a subshift . . . . .	498
12.	Circular codes, shifts of finite type and Krieger embedding theorem	500
	References . . . . .	503

**Chapter 11. Cryptology: Language-Theoretic Aspects**

<i>Valtteri Niemi</i> .....	507
1. Introduction .....	507
2. Basic notions in cryptology .....	507
3. Connections between cryptology and language theory .....	510
4. Public-key systems based on language theory .....	511
4.1 Wagner-Magyarak system .....	511
4.2 Salomaa-Welzl system .....	512
4.3 Subramanian et al. system .....	513
4.4 Siromoney-Mathew system .....	514
4.5 Niemi system .....	514
4.6 Oleshchuk system .....	515
5. Cryptosystems based on automata theory .....	516
5.1 Wolfram system .....	516
5.2 Guan public-key system .....	516
5.3 Tao-Chen public-key system .....	517
6. Theoretical cryptologic research based on language theory .....	518
7. Cryptanalysis based on language theory .....	519
8. Language-theoretic research inspired by cryptology .....	520
9. Research associated with language theory and cryptology .....	521
References .....	521
<b>Index .....</b>	<b>525</b>