

Computationally Efficient Modelling and Precision Position and Force Control of SMA Actuators

Table of Contents

| | | |
|----------|---|-----------|
| 1 | Introduction | 1 |
| 1.1 | Motivation | 3 |
| 1.2 | Goals | 3 |
| 1.3 | Outline | 4 |
| 2 | Shape Memory Alloys | 5 |
| 2.1 | SMA Phases | 5 |
| 2.2 | Stress Strain Behaviour: Austenite | 6 |
| 2.2.1 | Pseudoelasticity | 6 |
| 2.2.2 | Minor loop behaviour | 8 |
| 2.2.3 | Austenite reloading behaviour | 8 |
| 2.3 | Stress Strain Behaviour: Martensite | 10 |
| 2.4 | Mechanically Induced Martensite Fraction | 12 |
| 2.5 | Thermally Induced Martensite Fraction | 13 |
| 2.6 | Effect of Stress on the Hysteresis: Total Martensite Fraction | 13 |
| 2.7 | Minor hysteresis loops | 15 |
| 2.8 | Shape Memory Effect | 15 |
| 2.9 | SMA as Position and Force Actuators | 16 |
| 2.10 | Summary | 19 |
| 3 | Literature Review and Solution Approach | 21 |
| 3.1 | Modelling Approach | 28 |
| 3.2 | Control Approach | 29 |
| 4 | Stress-Strain Model at Threshold Temperatures | 31 |
| 4.1 | SMA Model Base Equation | 31 |
| 4.2 | SMA Model Algorithm | 34 |
| 4.3 | Model Initialization: Parameter Identification | 38 |
| 4.4 | Modelling the Mechanically Induced Martensite Fraction | 40 |
| 4.5 | Model Parameter Update: Austenite | 41 |
| 4.5.1 | Austenite unloading | 42 |
| 4.5.2 | Austenite reloading | 45 |
| 4.6 | Model Parameter Update: Martensite | 49 |
| 4.6.1 | Martensite unloading | 49 |

| | | |
|----------|--|------------|
| 4.6.2 | Martensite reloading | 52 |
| 4.7 | Experimental Validation and Discussion | 55 |
| 4.7.1 | Monotonic loading and unloading and parameter identification | 56 |
| 4.7.2 | Austenite complete cyclic loading | 58 |
| 4.7.3 | Austenite partial cyclic loading | 59 |
| 4.7.4 | Martensite cyclic loading | 62 |
| 4.7.5 | Experimental validation on different wire samples | 63 |
| 4.8 | Summary | 67 |
| 5 | Stress Strain Model at Arbitrary Temperatures | 69 |
| 5.1 | Experimental Set-up | 69 |
| 5.2 | Parallel Organisation of SMA Phases | 72 |
| 5.3 | Modelling the Thermally Induced Martensite Fraction | 74 |
| 5.4 | Loading | 75 |
| 5.5 | Unloading | 78 |
| 5.6 | Cyclical Loading and Unloading | 82 |
| 5.7 | Summary | 85 |
| 6 | Phase Kinetics Model | 87 |
| 6.1 | Thermal Hysteresis Modelling | 87 |
| 6.2 | Modelling of Minor Loops | 89 |
| 6.3 | Modelling Algorithm | 89 |
| 6.4 | Mechanical Hysteresis Modelling | 92 |
| 6.5 | Total Martensite Fraction | 97 |
| 6.6 | Model Validation: Experimental Set-Up | 105 |
| 6.7 | Model Validation: Major Hysteresis Loop at Various Stresses | 108 |
| 6.8 | Model Validation: Minor Hysteresis Loop at Various Stresses | 112 |
| 6.9 | Summary | 114 |
| 7 | Inverse SMA Model | 115 |
| 7.1 | Stress-Strain Model Inverse | 115 |
| 7.2 | Phase Kinetics Model Inverse | 121 |
| 7.3 | Summary | 125 |
| 8 | Model-based Position Control | 127 |
| 8.1 | Position Control via Temperature Control | 128 |
| 8.2 | Position Control via Temperature and Strain Control | 132 |
| 8.3 | Extended PWM based Controller | 134 |
| 8.4 | Extended Variable Structure Controller | 136 |
| 8.4.1 | Variable Structure Controller according to [EA02] | 137 |
| 8.4.2 | Asymmetric boundary layer | 138 |
| 8.4.3 | Adaptive control current | 140 |
| 8.4.4 | Final EVSC control law and effect of modifications | 141 |
| 8.5 | Step Response with Constant Masses with EPWM and EVSC | 143 |
| 8.5.1 | Step response at first step (heating) | 146 |
| 8.5.2 | Step response at second and third steps (cooling) | 148 |
| 8.5.3 | Step response at fourth step (heating) | 151 |
| 8.5.4 | Step response at fifth step (heating) | 152 |

| | | |
|-----------|---|------------|
| 8.6 | Sinusoidal Response with Constant Masses with EPWM and EVSC Controllers | 154 |
| 8.7 | Bandwidth | 160 |
| 8.8 | Disturbance Rejection and Dynamic Masses | 163 |
| 8.9 | Summary | 164 |
| 9 | Embedded Force and Position Control for an Industrial Clamping Application | 167 |
| 9.1 | SMA-based Clamping Vice | 168 |
| 9.2 | System Architecture | 169 |
| 9.3 | Mechanics | 170 |
| 9.4 | Controller Architecture | 173 |
| 9.5 | SMA-based Clamping Vice Performance | 174 |
| | 9.5.1 Step response | 174 |
| | 9.5.2 Sinusoidal response | 176 |
| | 9.5.3 Disturbance rejection | 177 |
| 9.6 | Summary | 178 |
| 10 | Conclusions and Future Work | 181 |
| | Bibliography | 185 |

Appendix

| | | |
|-----------|--|------------|
| A1 | Detailed Derivations | 197 |
| A1.1 | Calculation of s-shaped curve | 197 |
| A1.2 | Calculation of k_1 and k_2 during parameter identification | 198 |
| A1.3 | Calculation of k_2 for austenite unloading | 199 |
| A1.4 | Calculation of q for austenite unloading | 200 |
| A1.5 | Calculation of q for martensite reloading | 201 |
| A1.6 | Calculation of switching parameters: heating to cooling | 203 |
| A1.7 | Calculation of switching parameters: cooling to heating | 205 |
| A1.8 | Phase kinetics model extension | 206 |
| A2 | Data sheets | 209 |
| A2.1 | SMA SmartFlex Wire General Characteristics | 209 |
| A2.2 | SMA SmartFlex Wire Showing Transformation Temperatures | 210 |
| A2.3 | SMA SmartFlex Wire Showing Hysteresis Relationship to Stress | 211 |
| A2.4 | Servo Hydraulic Tensile Tester | 212 |
| A2.5 | Cooling Thermostat with Level Controller | 213 |
| A2.6 | Cooling Thermostat Specifications | 214 |
| A2.7 | PT100 Type K Thermocouple | 215 |
| A2.8 | Digital Thermometer | 216 |
| A2.9 | Force Sensor | 217 |
| A2.10 | Amplifier | 218 |

| | |
|--|-----|
| A2.11 Laser Distance Sensor | 219 |
| A2.12 Thermocouple | 220 |
| A2.13 Thermocouple Amplifier | 221 |
| A2.14 Current Driver | 222 |
| A2.15 Data Acquisition Board | 223 |
| A2.16 Force Resistive Sensor | 224 |
| A2.17 Current Shunt Monitor | 225 |
| A2.18 Microcontroller | 226 |