

DETAILED CONTENTS

| | |
|---|--------------|
| List of Figures | xvii |
| List of Tables | xix |
| Preface | xxi |
| Purpose of the Book | xxi |
| Audience for the Book | xxii |
| Book Features | xxii |
| New Features Added to the Third Edition | xxiv |
| Acknowledgments | xxv |
| About the Authors | xxvii |
| | |
| Chapter 1 • The Nature of Mixed Methods Research | 1 |
| Defining Mixed Methods Research | 2 |
| Examples of Mixed Methods Studies | 5 |
| What Research Problems Require Mixed Methods? | 7 |
| A Need Exists to Obtain More Complete and Corroborated Results | 8 |
| A Need Exists to Explain Initial Results | 9 |
| A Need Exists to First Explore Before Administering Instruments | 9 |
| A Need Exists to Enhance an Experimental Study With a Qualitative Method | 10 |
| A Need Exists to Describe and Compare Different Types of Cases | 10 |
| A Need Exists to Involve Participants in the Study | 11 |
| A Need Exists to Develop, Implement, and Evaluate a Program | 11 |
| What Are the Advantages of Using Mixed Methods? | 12 |
| What Are the Challenges in Using Mixed Methods? | 14 |
| The Question of Researcher Skills | 14 |
| The Question of Time and Resources | 15 |
| The Question of Educating Others About the Value of Mixed Methods | 16 |
| Summary | 17 |
| Activities | 19 |
| Additional Resources to Examine | 19 |
| | |
| Chapter 2 • The Foundations of Mixed Methods Research | 21 |
| Historical Foundations | 21 |

| | |
|--|----|
| When Mixed Methods Began | 22 |
| Why Mixed Methods Emerged | 23 |
| The Development of the Name | 23 |
| Stages in the Evolution of Mixed Methods | 24 |
| <i>Formative period</i> | 24 |
| <i>Paradigm debate period</i> | 26 |
| <i>Early procedural development period</i> | 27 |
| <i>Expanded procedural development period</i> | 28 |
| <i>Reflection and refinement period</i> | 30 |
| Philosophical Foundations | 34 |
| Philosophy and Worldviews | 34 |
| Worldviews Applied to Mixed Methods | 39 |
| <i>One "best" worldview for mixed methods</i> | 39 |
| <i>Dialectical perspective for using multiple worldviews in mixed methods</i> | 41 |
| <i>Worldviews relate to the study context and type of mixed methods design</i> | 41 |
| <i>Worldviews depend on the scholarly community</i> | 42 |
| Theoretical Foundations | 43 |
| Summary | 47 |
| Activities | 48 |
| Additional Resources to Examine | 49 |

Chapter 3 • Core Mixed Methods Designs **51**

| | |
|--|----|
| Key Concepts That Inform Mixed Methods Designs | 52 |
| Fixed and Emergent Designs | 52 |
| Typology and Interactive Approaches to Design | 53 |
| The Evolution of Our Typology | 59 |
| A Notation System for Drawing Diagrams of Designs | 61 |
| Elements for Drawing Diagrams of Designs | 64 |
| The Three Core Mixed Methods Designs | 65 |
| General Diagrams of the Three Core Designs | 65 |
| The Convergent Design | 68 |
| <i>Intent of the convergent design</i> | 68 |
| <i>Choice of the convergent design</i> | 68 |
| <i>Philosophical assumptions and theory use in the convergent design</i> | 69 |
| <i>The convergent design procedures</i> | 69 |
| <i>Integration in the convergent design</i> | 71 |
| <i>Strengths of the convergent design</i> | 71 |
| <i>Challenges in using the convergent design</i> | 72 |
| <i>Convergent design variants</i> | 72 |
| <i>Example of the convergent design</i> | 74 |
| The Explanatory Sequential Design | 77 |
| <i>Intent of the explanatory sequential design</i> | 77 |
| <i>Choice of the explanatory sequential design</i> | 77 |

| | |
|--|-----------|
| <i>Philosophical assumptions and theory use in an explanatory sequential design</i> | 78 |
| <i>The explanatory sequential design procedures</i> | 78 |
| <i>Integration in the explanatory sequential design</i> | 80 |
| <i>Strengths of the explanatory sequential design</i> | 80 |
| <i>Challenges in using the explanatory sequential design</i> | 81 |
| <i>Explanatory sequential design variants</i> | 82 |
| <i>Example of the explanatory sequential design</i> | 82 |
| The Exploratory Sequential Design | 84 |
| <i>Intent of the exploratory sequential design</i> | 84 |
| <i>Choice of the exploratory sequential design</i> | 86 |
| <i>Philosophical assumptions and theory use in the exploratory sequential design</i> | 86 |
| <i>The exploratory sequential design procedures</i> | 87 |
| <i>Integration in the exploratory sequential design</i> | 87 |
| <i>Strengths of the exploratory sequential design</i> | 89 |
| <i>Challenges in using the exploratory sequential design</i> | 89 |
| <i>Exploratory sequential design variants</i> | 90 |
| <i>Example of the exploratory sequential design</i> | 91 |
| Additional Considerations in Choosing a Core Design | 93 |
| Intent of the Design | 93 |
| Familiarity of the Designs Used Within the Field | 95 |
| Expertise of the Researcher | 95 |
| Amount of Time to Conduct the Study | 96 |
| Complexity of the Design | 96 |
| Describing a Design in a Written Report | 96 |
| Summary | 98 |
| Activities | 99 |
| Additional Resources to Examine | 99 |

Chapter 4 • Complex Applications of Core Mixed Methods Designs **101**

| | |
|---|------------|
| Intersecting Core Mixed Methods Designs With Other Research Approaches or Frameworks | 102 |
| Four Prominent Types of Complex Mixed Methods Designs | 104 |
| Mixed Methods Experimental (or Intervention) Designs | 108 |
| <i>The intent of the mixed methods experimental design</i> | 108 |
| <i>Choice of the mixed methods experimental design</i> | 110 |
| <i>Philosophical assumptions and theory use in the mixed methods experimental design</i> | 110 |
| <i>The mixed methods experimental design procedures</i> | 110 |
| <i>Integration in the mixed methods experimental design</i> | 111 |
| <i>Strengths of the mixed methods experimental design</i> | 112 |
| <i>Challenges in using the mixed methods experimental design</i> | 112 |
| <i>Mixed methods experimental design variants</i> | 113 |
| <i>Example of a mixed methods experimental design</i> | 113 |

| | |
|--|------------|
| Mixed Methods Case Study Designs | 116 |
| <i>Intent of the mixed methods case study design</i> | 116 |
| <i>Choice of the mixed methods case study design</i> | 117 |
| <i>Philosophical assumptions and theory use in the mixed methods case study design</i> | 117 |
| <i>The mixed methods case study design procedures</i> | 118 |
| <i>Integration in the mixed methods case study design</i> | 118 |
| <i>Strengths of the mixed methods case study design</i> | 118 |
| <i>Challenges in using the mixed methods case study design</i> | 120 |
| <i>Mixed methods case study design variants</i> | 120 |
| <i>Example of a mixed methods case study design</i> | 121 |
| Mixed Methods Participatory-Social Justice Designs | 123 |
| <i>The intent of the mixed methods participatory-social justice design</i> | 125 |
| <i>Choice of the mixed methods participatory-social justice design</i> | 125 |
| <i>Philosophical assumptions and theory use in the mixed methods participatory-social justice design</i> | 125 |
| <i>The mixed methods participatory-social justice design procedures</i> | 126 |
| <i>Integration in the mixed methods participatory-social justice design</i> | 126 |
| <i>Strengths of the mixed methods participatory-social justice design</i> | 128 |
| <i>Challenges in using the mixed methods participatory-social justice design</i> | 128 |
| <i>Mixed methods participatory-social justice design variants</i> | 129 |
| <i>Example of a mixed methods participatory-social justice design</i> | 129 |
| Mixed Methods Evaluation Designs | 131 |
| <i>The intent of the mixed methods evaluation design</i> | 132 |
| <i>Choice of the mixed methods evaluation design</i> | 132 |
| <i>Philosophical assumptions and theory use in the mixed methods evaluation design</i> | 133 |
| <i>The mixed methods evaluation design procedures</i> | 133 |
| <i>Integration in the mixed methods evaluation design</i> | 133 |
| <i>Strengths of the mixed methods evaluation design</i> | 135 |
| <i>Challenges in using the mixed methods evaluation design</i> | 135 |
| <i>Mixed methods evaluation design variants</i> | 136 |
| <i>Example of a mixed methods evaluation study</i> | 136 |
| Drawing Diagrams of Complex Applications | 138 |
| Summary | 140 |
| Activities | 141 |
| Additional Resources to Examine | 141 |
| | |
| Chapter 5 • Introducing a Mixed Methods Study | 143 |
| Writing a Mixed Methods Title | 144 |
| Qualitative and Quantitative Titles | 144 |
| Mixed Methods Titles | 145 |
| Stating the Research Problem in the Introduction | 149 |
| Topics in a Statement of the Problem Section | 149 |

| | |
|--|-----|
| Integrate Mixed Methods Into the Statement of the Problem | 151 |
| Developing the Purpose Statement | 152 |
| Qualitative and Quantitative Purpose Statements | 152 |
| Mixed Methods Purpose Statements | 154 |
| Writing Research Questions and Hypotheses | 163 |
| Qualitative Questions and Quantitative Questions and Hypotheses | 163 |
| Mixed Methods Research Questions | 164 |
| Summary | 171 |
| Activities | 172 |
| Additional Resources to Examine | 172 |

Chapter 6 • Collecting Data in Mixed Methods Research **173**

| | |
|--|-----|
| Procedures in Collecting Qualitative and Quantitative Data | 173 |
| Use Sampling Procedures | 175 |
| Obtain Permissions and Recruit Participants | 177 |
| Identify Data Sources | 179 |
| Record the Data | 180 |
| Administer the Procedures | 181 |
| General Considerations for Data Collection in Mixed Methods | 182 |
| Data Collection Within the Mixed Methods Designs | 184 |
| Convergent Design Data Collection | 187 |
| <i>Decide whether the two samples will include different or the same individuals</i> | 187 |
| <i>Decide whether the size of the two samples will be the same or different</i> | 188 |
| <i>Decide to design parallel data collection questions</i> | 189 |
| <i>Decide whether the data will be collected from two independent sources or a single source and decide the order of data collection</i> | 189 |
| Explanatory Sequential Design Data Collection | 190 |
| <i>Decide whether to use the same or different individuals in both samples</i> | 190 |
| <i>Decide on the sizes for the two samples</i> | 190 |
| <i>Decide what quantitative results need to be explained</i> | 191 |
| <i>Decide how to select the best participants for the qualitative follow-up phase</i> | 191 |
| <i>Decide how to describe the emerging follow-up phase for institutional review board approval</i> | 192 |
| Exploratory Sequential Design Data Collection | 192 |
| <i>Decide the samples and the sample sizes for the qualitative and quantitative phases</i> | 192 |
| <i>Decide how to describe the emerging follow-up phase for institutional review board approval</i> | 193 |
| <i>Decide what aspects of the initial qualitative results to use to inform the second-phase quantitative strand</i> | 193 |
| <i>Decide what steps to take in developing a good quantitative instrument If developing an instrument, decide how to convey the design of it in a procedural diagram</i> | 194 |
| | 195 |

| | |
|--|-----|
| Mixed Methods Experimental Design Data Collection | 195 |
| <i>Decide on the reason and timing for collecting qualitative data within the experimental design</i> | 195 |
| <i>Decide how to minimize the possibility of the qualitative data introducing bias into the experiment</i> | 197 |
| <i>Decide what type of qualitative data will best augment the experiment</i> | 199 |
| Mixed Methods Case Study Design Data Collection | 199 |
| <i>Decide on the criteria to use to define the case(s) for the study</i> | 200 |
| <i>Decide on the core design to provide evidence for the case(s)</i> | 200 |
| <i>Decide on the criteria for distinguishing cross-case comparisons</i> | 201 |
| Mixed Methods Participatory-Social Justice Design Data Collection | 201 |
| <i>Decide how best to refer to and interact with participants</i> | 201 |
| <i>Decide what sampling strategies will promote inclusiveness</i> | 202 |
| <i>Decide how to actively involve participants in the data collection process</i> | 202 |
| <i>Decide to use data collection instruments that are sensitive to the cultural context of the group being studied</i> | 202 |
| <i>Decide how the data collection process and outcomes will benefit, not marginalize, the community being studied</i> | 203 |
| Mixed Methods Evaluation Design Data Collection | 203 |
| <i>Decide to use multiple sampling strategies that fit different phases of the evaluation</i> | 204 |
| <i>Decide how to sample and collect data for each phase</i> | 204 |
| <i>Decide how to handle measurement and attrition issues</i> | 204 |
| <i>Decide on the programmatic thrust to provide the framework for the evaluation project</i> | 205 |
| Summary | 205 |
| Activities | 207 |
| Additional Resources to Examine | 208 |

Chapter 7 • Analyzing and Interpreting Data in Mixed Methods Research

209

| | |
|--|-----|
| Procedures in Quantitative and Qualitative Data Analysis and Interpretation | 210 |
| Prepare the Data for Analysis | 212 |
| Explore the Data | 213 |
| Analyze the Data | 214 |
| Represent the Data Analysis | 215 |
| Interpret the Results | 216 |
| Validate the Data and Results | 216 |
| Mixed Methods Data Analysis and Interpretation | 218 |
| The Evolution of Integrative Thinking | 218 |
| Viewing Integration From a Design-Based Perspective | 220 |
| Integrated Data Analysis and Interpretation Within the Mixed Methods Designs | 221 |

| | |
|--|------------|
| Convergent Design Data Analysis and Interpretation | 221 |
| <i>Intent of integration</i> | 221 |
| <i>Primary data analysis integration procedures</i> | 224 |
| <i>Data transformation integration procedures</i> | 224 |
| <i>Representation of merging integration results in a narrative discussion</i> | 226 |
| <i>Representation of merging integration results through joint displays</i> | 227 |
| <i>Interpretation of integration results</i> | 233 |
| Explanatory Sequential Design Data Analysis and Interpretation | 234 |
| <i>Intent of integration</i> | 234 |
| <i>Primary data analysis integration procedures</i> | 234 |
| <i>Representation of sequential integration through joint displays</i> | 236 |
| <i>Interpretation of integration results</i> | 238 |
| Exploratory Sequential Design Data Analysis and Interpretation | 238 |
| <i>Intent of integration</i> | 240 |
| <i>Primary data analysis integration procedures</i> | 240 |
| <i>Representation of sequential integration through joint displays</i> | 241 |
| <i>Interpretation of integration results</i> | 242 |
| Integrated Data Analysis and Interpretation Within Complex Designs | 244 |
| <i>Mixed methods experimental design</i> | 244 |
| <i>Mixed methods case study design</i> | 244 |
| <i>Mixed methods participatory-social justice design</i> | 245 |
| <i>The mixed methods evaluation design</i> | 247 |
| Validity and Mixed Methods Designs | 249 |
| General Principles | 251 |
| Validity Threats and Types of Mixed Methods Designs | 251 |
| Software Applications and Mixed Methods Data Analysis | 253 |
| Summary | 255 |
| Activities | 256 |
| Additional Resources to Examine | 256 |
| Chapter 8 • Writing and Evaluating Mixed Methods Research | 259 |
| General Guidelines for Writing | 260 |
| Relate the Mixed Methods Structure to the Type of Writing | 262 |
| Structure of a Proposal for a Mixed Methods Dissertation or Thesis | 262 |
| Structure of a Mixed Methods Dissertation or Thesis | 266 |
| Structure for an Application for Funding to the National Institutes of Health | 269 |
| Structure of a Mixed Methods Journal Article | 274 |
| Evaluating a Mixed Methods Study | 278 |
| Quantitative and Qualitative Evaluation Criteria | 278 |
| Mixed Methods Evaluation Criteria | 279 |
| Summary | 284 |
| Activities | 285 |
| Additional Resources to Examine | 285 |

| | |
|--|------------|
| Chapter 9 • Advances in Mixed Methods Research | 287 |
| Advances in Mining Data | 288 |
| Advances in the Insight Gained Through the Value of Mixed Methods Research | 290 |
| Advances in Mixed Methods Designs | 292 |
| Advances in Representations of Design Procedures | 295 |
| Advances in Integration | 297 |
| Advances in Creating Mixed Methods Questions and Study Aims | 299 |
| Advances in Representing Integration Through Joint Displays | 300 |
| Advances in Mixed Methods Validity | 301 |
| Advances in Understanding Skills Required for Mixed Methods | 304 |
| Advances in Publishing Mixed Methods Manuscripts | 306 |
| Summary | 308 |
| Activities | 309 |
| Additional Resources to Examine | 309 |
| | |
| Appendix A: Unwritten Rules of Talking to Doctors About Depression: Integrating Qualitative and Quantitative Methods | 311 |
| Appendix B: Students' Persistence in a Distributed Doctoral Program in Educational Leadership in Higher Education: A Mixed Methods Study | 323 |
| Appendix C: The Development of Client Violence Questionnaire (CVQ) | 355 |
| Appendix D: Evaluation of the Effectiveness of Robotic Gait Training and Gait-Focused Physical Therapy Programs for Children and Youth With Cerebral Palsy: A Mixed Methods RCT | 373 |
| Appendix E: Reconciling Data From Different Sources: Practical Realities of Using Mixed Methods to Identify Effective High School Practices | 389 |
| Appendix F: Understanding Transitions in Care From Hospital to Homeless Shelter: A Mixed-Methods, Community-Based Participatory Approach | 417 |
| Appendix G: Mixed Methods in Intervention Research: Theory to Adaptation | 429 |
| Glossary | 447 |
| References | 453 |
| Index | 473 |