Contents

xiii xvii

Acknowledgments		xxi
	Part I GENERAL ISSUES	
1	Some Design Issues in Sample Surveys	3
	D. G. HORVITZ	
	1. Introduction	3
	2. Background	5
	3. Total Survey Design	6
	4. Total Survey Error Models	6
	5. Some Examples	7
	6. A Sample Survey Design Information System	8
	7. Conclusion	10
	References	11

List of Contributors
Preface

2	On the Future of Survey Sampling	13
	LESLIE KISH	
	 Introduction NE—Needed and Expected NW—Needed but Waiting SE—Superfluous and Expected SW—Superfluous and Waiting 	13 14 20 21 21
	Part II SPECIFIC METHODOLOGICAL PROBLEMS	
3	Variance Estimates for Complex Statistics from	
	Multistage Sample Surveys	25
	B. V. SHAH	
	 Introduction Notation Application of Central-Limit Theorem Taylorized Deviation Independent Replications Pseudoreplications 	25 26 28 29 29 30
	 Jackknife Computations Other Issues Comparison of Techniques Further Research 	30 31 31 31 32
	References	33
4	Estimation of Nonsampling Variance Components	
	in Sample Surveys	35
	H. O. HARTLEY AND J. N. K. RAO	
	 Introduction Assumptions Made Model Formulation 	35 36 37
	 Complete Specification of Survey Design Conditional Estimation of Variance Components Linear Estimates of Target Parameters and Their Variances 	38 39 40
	7. Summary References	43 43
5	Some Statistical Problems Associated with Continuing Cross-Sectional Surveys	45
	A. L. FINKNER AND HAROLD NISSELSON	
	Introduction Problems Associated with Sampling Frames Arising from	45
	Changes over Time	47

Contents	vii

	3. Problems in Sample Design and Estimation	49
	4. Measurement Problems in Continuing Surveys	51
	5. Problems in Data Collection Techniques	60
	6. Some Sampling Problems Associated with the Analysis	
	of Time Series	62
	7. Concluding Comments	65 67
	References	67
5	A Survey of Surveys: Some Sampling Frame Problems	69
	C. MICHAEL LANPHIER AND BARBARA A. BAILAR	
	1. Introduction	69
	2. Background	69
	3. Sample Frame Problems	73
	4. Problems Requiring Further Attention	83
	5. Conclusions	85
	References	85
]	Part III PROBLEMS IN THE ANALYSIS OF SURVEY DA	TA
7	Victimization and the National Crime Survey:	
	Problems of Design and Analysis	89
	STEPHEN E. FIENBERG	
	1. Introduction	89
	2. Recording Crime	92
	3. Design of the NCS	94
	4. Published Analyses of the NCS Data	98
	5. Modeling Victimization	100
	6. Discussion	105
	References	106
8	Some Problems of Inference from Economic Survey Data	107
	IAN KMENTA	
	••••	107
	1. Introduction	108
	 Pooling of Survey and Aggregate Time Series Data Estimation of Disequilibrium Models from Survey Data 	114
	4. Simultaneous Equation Models Based on Sample Survey Data	117
	References	119
9	The Role of Covariance Matrix Estimation in the	
	Analysis of Complex Sample Survey Data	121
	DANIEL H. FREEMAN, JR., AND DWIGHT B. BROCK	
	1. Introduction	121
	2. A Framework for Survey Analysis	122
	3. Examples	129
	References	139

viii Contents

Part IV NONRESPONSE, UNDERCOVERAGE, AND RELATED PROBLEMS

143

143

10 A Two-Phase Sample Design for Estimating the Finite Population Mean When There Is Nonresponse

BAHADUR SINGH AND J. SEDRANSK

1. Introduction

	Sample Design and Analysis Optimal Sample Design	145 148
	4. Nonresponse Problem	150
	References	155
11	Adjustment for Nonresponse in Surveys	157
	R. PLATEK, M. P. SINGH, AND V. TREMBLAY	
	1. Introduction	157
	2. Methods of Compensation for Nonresponse	158
	3. Formulation of the Nonresponse Bias	160
	4. An Alternative Approach to the Nonresponse Problem	162
	5. Some Empirical Results	169
	References	174
12	A Comparison of Some Adjustment and Weighting	
	Procedures for Survey Data	175
	BARBARA A. BAILAR, LEROY BAILEY, AND CAROL CORBY	
	1. Introduction	175
	2. Adjustment Procedures for Selected Demographic Surveys	177
	3. A Comparison of Variances for Two Imputation	
	Procedures for Item Nonresponse	186
	4. A Research Project to Permit Comparison of Alternative	
	Procedures for Adjusting Data	190
	5. Conclusion References	198
	Retelences	198
	Part V TIME SERIES ANALYSIS	
13	Principles and Problems in the Analysis of	
	Repeated Surveys	201
	T. M. F. SMITH	
	1. Introduction	
	2. Some Examples	201
	3. The Traditional Sampling Approach	202
	Sumping Tippioacii	205

Contents ix

	4. The Time Series Approach5. Examples Using the Time Series Approach References	208 211 216
	Keretenaaa	
14	Estimation of Changing Seasonal Variations in Economic Time Series	217
	ESTELA BEE DAGUM	
	 Introduction Seasonal Models Basic Assumptions of the X-11-ARIMA Method of 	217 219
	Seasonal Adjustment 4. Conclusions References	221 225 227
	THE STATE OF STREET AND METHOD IN	IODS
P	Part VI APPLICATIONS OF SURVEY DATA AND METH	1005
15	Use of Sample Surveys in National Planning in Developing Countries	231
	M. N. MURTHY	
	1. Introduction	231
	2. Types and Sources of Data	232
	3. Data Requirements	234
	4. Strategy for Data Planning	236
	5. Role of Sampling	240
	6. Quality of Survey Data	245
	7. Problems of Use of Survey Data	247 250
	8. Concluding Remarks	250 251
	References	231
16	Models and Multidimensional Sampling with Applications	
	in Geological Surveys	255
	P. VUAGNAT	
	Part VII THE GAP BETWEEN THEORY AND PRACT	ICE
17	Relevance of Randomization in Data Analysis	267
	D. BASU	
	1. Introduction	267
	2. Likelihood	271
	3. A Survey Sampling Model	274

x	Contents
---	----------

	4. Why Randomize?	275
	5. Randomization Analysis of Data	279
	6. Randomization and Information	280
	7. Information in Data	282
	8. A Critical Review	285
	References	291
18	An Empirical Study of Prediction Theory in Finite Population Sampling: Simple Random Sampling and the Ratio Estimator	293
	RICHARD M. ROYALL AND WILLIAM G. CUMBERLAND	
	1. Introduction	293
	2. Background	294
	3. The Study Populations	297
	4. Results 5. Discussion	300
	Appendix. Computing Considerations	301 308
	References	308
19	Comments on Papers by Basu and Royall and Cumberland V. P. GODAMBE	311
20	Comments on Papers by Basu and Royall and Cumberland WILLIAM G. MADOW	315
	 Summary Use of Superpopulations in Probability Sampling 	315
	Design and Analysis	316
	3. Prediction Theory	318
	4. Specific Comments on the Paper by Royall and Cumberland	320
	5. Specific Comments on the Paper by Basu	321
	References	321
21	Comments on Papers by Basu and Royall and Cumberland	323
	J. N. K. RAO	
	1. Some Remarks on the Paper by Royall and Cumberland	323
	2. Some Remarks on the Paper by Basu	326
	References	328
22	Reply to Godambe, Madow, and Rao	331
	RICHARD M. ROYALL AND WILLIAM G. CUMBERLAND	

Contents xi

23	Reply to Godambe, Madow, and Rao	337
	D. BASU	
24	Estimation and Inferences from Sample Surveys: Some Comments on Recent Developments	341
	MORRIS H. HANSEN AND WILLIAM G. MADOW	
	1. Introduction	341
	2. A Probability Sampling Model	345
	3. Criticisms of the Probability Sampling Model in	
	Recent Research: Comments on the Criticisms	347
	4. Some Summary Remarks	355
	References	357
Ind	lox	359